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# Priests' Perceptions of the Leadership Styles of U.S. Catholic Bishops

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# Walden University

College of Social and Behavioral Sciences

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Aloysius Okey Ndeanaefo

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Walden University 2018.

#### Abstract

Priests' Perceptions of the Leadership Styles of U.S. Catholic Bishops

by

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M.Div., University of St. Mary of the Lake, 2005

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Dissertation Submitted in Partial Fulfillment
of the Requirements for the Degree of
Doctor of Philosophy

Public Policy and Administration - Nonprofit Management

Walden University

June 2018

#### Abstract

The United States Catholic bishops have used their authority to address the child sexual abuse scandal, but it has been problematic that no one has yet evaluated their exercised leadership styles. In this phenomenological study, I explored U.S Catholic priests' perceptions of the bishops' leadership styles related to how they handled the child sexual abuse scandal. Knowing the bishops' leadership styles was paramount to fill the research gap. The theoretical frameworks underpinning this study were transformational leadership, transactional leadership, charismatic leadership, and servant leadership. Data collected through interviews with 11 Catholic priests revealed their perceptions of how the behavioral characteristics of each leadership style influenced the management of the child sexual abuse scandal. Collected data were deductively coded, then subjected to a thematic analysis procedure. The research findings highlighted the bishops' predominant use of servant leadership style. The resulting themes were that (a) the bishops felt they were forced to listen, (b) they lacked the charisma to convince, (c) they were more interested in protecting the church, and (d) they paid remunerations to victims. The U.S Catholic bishops would benefit from this study as it reveals the remaining gaps in their predominant use of servant leadership style. The positive social change implications point to the bishops' using this study to facilitate more effective leadership styles when handling and preventing similar future crises while collaborating with the clergy, the religious, the faithful, and law enforcement officials in creating and sustaining awareness of child abuse prevention policy to avert future harms.

## Priests' Perceptions of the Leadership Styles of U.S. Catholic Bishops

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## Dedication

This dissertation is dedicated to my beloved and deceased dad, Mr. Michael

Ndebueze Ndeanaefo, for everything you sacrificed for our family. May your gentle soul
rest in the peace of God, Amen. In honor of my beloved mother Ezinee Theresa

Chibogwu Ndeanaefo (Nee Afubera) for all your love and support to me and our family.

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#### Chapter 1: Introduction to the Study

#### Introduction

Wilson and Scarpa (2015) defined child sexual abuse "as any form of sexual act against children under 18 years old" (p. 164). The issue of child sexual abuse anywhere in society cannot be taken lightly. The Roman Catholic Church in the United States and around the world has suffered greatly due to the actions of some members of the clergy abusing children and young people. In this study, I explored U.S. Catholic priests' perceptions of the leadership styles of U.S. Catholic bishops in relation to how they handled the child sexual abuse scandal in the Church. To document and analyze the leadership styles exhibited by the bishops I focused on transformational, transactional, charismatic, and servant leadership in this study.

The impact of individual leadership styles in affecting change is important to all organizations in need of adapting to the changing dynamics and complexities of the world. Understanding the best form of leadership style for a certain organizational setting is among the challenges of leadership style in public and private sectors. The U.S. Catholic bishops used their leadership authority in handling the child sexual abuse scandal in an effort to make a positive change and to create a safe environment for young people in the church. For organizations rooted in tradition, such as the Universal Catholic Church, there are challenges associated with the leadership style used by their leaders. Kwame (2012) remarked that "there are visible changes taking place every day; changes that are redefining some very basic and fundamental laws and precepts that govern our planet" (p. 15). In 2017, Catholic leadership can only speculate regarding the nature of

the changing world while trying its best to learn, understand, and promulgate policies that will create and sustain a measurable change and safe environment for children, both now and in the future.

Understanding these complexities regarding building, maintaining, and sustaining a safe environment, free from child abuse, requires that Catholic leaders around the world become continuous learners. Schein (2004) suggested that individuals, organizations, and leaders become continuous learners, so as to be better positioned to learn and acquire the leadership qualities they require to contribute positively to the world. The learning process for organizations is not exclusively reserved for leaders and includes the followers. One of the starting points for organizational learning and organizational leadership is knowledge of self. Tabrizi and Terrell (2013) noted the importance of a leader knowing their direction of change before being in the position to change the perspectives and behavior of others.

In this chapter, I address the study background, problem statement, study purpose, research questions, theoretical framework, and the nature of the study. I will also present the types and sources of research data, the definitions of terms, study assumptions, scope and delimitations, study limitations, and the significance of the study.

#### **Background of the Study**

Bass and Riggio (2006) reported that historians, political scientists, and sociologists recognize the leadership that extends beyond the whim of social exchange between leaders and followers. The study of leadership style has been optimized, extolled, and supported by most social scientists as a way of exchanging and encouraging

relationships between leaders and their followers. Some researchers have exemplified the relational rewards and benefits of such exchanges between leaders and followers. The focus of this study was to interview Catholic priests in an effort to identify and document their perceptions of U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the church. I focused on the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership.

The study of transformational leadership within a religious organization, such as the Catholic Church, is influenced by the religious and institutional values, beliefs, doctrine, tradition, and culture of the organization. Tyssen, Wald, and Heidenreich (2014) viewed the focus of transformational leadership similar to that of "transforming members' values and beliefs to achieve their organizational goals" (p. 378).

Transformational leaders provide change and motion in their organizations by trying to vary the existing structure and to influence people to buy into new visions and possibilities (Tucker & Russell, 2004).

In an effort to lead necessary organizational change, Tucker and Russell (2004) highlighted that "transformational leaders do provide entirely new aspirations, directions, and behaviors to their system" (p. 103). They engage their followers in creating a connection that elevates the level of efforts and noble aspirations in both (Fry, 2003). Engaging members as a way of bringing out the best in them, when employing transformational leadership, is one of the things that separates the transformational leadership concept from transactional leadership.

The transactional leadership theory described by Burns (1978) assumes that the association between leaders and followers is a chain of interactions of gratification aimed at maximizing organizational and individual gains (McCleskey, 2014). Although transformational leadership may transcend the satisfaction of self-interest, the dynamics of leadership-followership have most frequently been excused as a social exchange (Bass & Stogdill, 1990). In this social exchange of transactional leadership, the leader's interest is for the potential benefit of the relationship between them and their followers. In the transactional leadership relationship, the followers expect that the leader will enable them to achieve a favorable outcome and believe the exchange to be fair if the rewards are distributed equally (Bass & Stogdill, 1990). In the transactional leadership model, the relationship between the leader and his or her followers is more of a business relationship based on satisfying the leader's self-interest, whereas the transformational leadership model goes beyond satisfying self-interest.

Hussain, Talib, and Shah (2014) used data collected randomly through the survey of 280 school staff members to examine the influence of transformational leadership on the process and product innovation in higher education in Iraq. The authors concluded that there are strong significant relationships between transformational leadership and the process and product innovation (Hussain et al., 2014). Despite this conclusion, the authors did not state in detail precisely how transformational leadership style impacts product and process innovation.

In this study, I interviewed Catholic priests to explore how these priests perceived the leadership styles of U.S. Catholic bishops in handling the child sexual abuse scandal

in the Church. The priests' interviews included questions about the behavioral characteristics of transformational, transactional, charismatic, and servant leadership styles, focusing on the concept of transformational leadership, and the Catholic Church child sexual abuse crisis. The literature materials relating to four leadership styles of this study included Gandolfi (2012), who asked for deeper insights as a means of recognizing the usefulness of a transformational leader within a multicultural context, while staying centered on examining "the concepts of transformational leadership and intercultural communication competence" (p. 522). Gandolfi extolled the effects of cultural orientation and communication on the transformational leadership framework but did not outline a solution for addressing this social bond that can influence a leadership model and implied that different cultural models can only work within a cultural context. This article was relevant to my study in examining how the Catholic leadership model impacts, contrasts, or aligns with the concepts of transformational leadership.

There are lessons to be learn from the Catholic Church's handling of the child abuse scandal. Barth (2010) explored how the Catholic Church in the United States handled cases of child sexual abuse by the clergy and provided lessons for public administrators. A long-term crisis management plan should have an organizational focus in all crisis as a way of avoiding future poor crisis management (Barth, 2010). The ideological stance of transformational leadership qualities makes it possible to determine the fundamental and necessary needs to prevent a future crisis. Therefore, governmental and nongovernmental organizations and their leaders need to become perpetual learners

to be better positioned in contributing positively to the commuting cosmos (Schein, 2004).

There are barriers to detecting child abuse. Louwers, Korfage, Affourtit, De Koning, and Moll (2012) examined the barriers to identifying child abuse together with the legal requirements for the ill-treatment of children screening in emergency departments (EDs). They listed the lack of knowledge of child abuse, communication with parents in the event of suspected abuse, and the lack of time for development of policy and cases as barriers for ED staff in screening for child abuse (Louwers et al., 2012). The authors emphasized the importance of training facilitators and promoting screening for child abuse as a way to better identify it. The revised child abuse policy document by the U.S. Conference of Catholic Bishops (USCCB; 2015b), the Charter for the Protection of Children and Young People, outlined the progress that the Catholic Church has made in handling child abuse. These advances were made through the creation of different Catholic diocesan programs and the implementation of internal and external auditing programs simultaneously (USCCB; 2015b).

Nevertheless, the complexity of the world calls for transformational leadership in organizations. Warrick (2011) opined that there is an urgent need for transformational leadership in organizations of all types and sizes, and to have transformational leaders who possess the bravery and skills to reinvent and build institutions that can succeed in today's times of dynamic change and limited resources. Although extolling the characteristics of and the need for transformational leaders in the world, Warrick also highlighted that the individual skills required to be a transformational leader are not

apparent. Warrick concluded by reiterating the fierce urgency of the need for organizational change through the instrumentality of a transformational leader but did not outline the possible individual skills required to be a transformational leader.

In this study, my goal was to use the interviews of Catholic priests to analyze the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the church. The results from this study are expected to help in affecting positive social change by creating public awareness of the need to continue with the effort to build and sustain a safe environment for young people while being open to dealing with the challenges of child sex abuse in both governmental and nongovernmental organizations. The findings will provide new information to policymakers and leaders to ensure the sustainability and maintenance of the already promulgated and implemented child sexual abuse policies and programs. Equally, it will provide materials for researchers who are looking for ways to analyze the leadership styles of the Catholic bishops in handling the child sexual abuse crisis based on opinions of the Catholic priests. The abuse of minors and young people by some deacons, priests, religious men and women, and bishops and the manner in which the Catholic Church addressed these crimes and sins has caused tremendous pain, wrath, and confusion (USCCB, 2015b). Most people have directed their anger and frustration at the Catholic Church leadership for lack of judgment, foresight, and innovation in handling the child sexual abuse scandal.

#### **Problem Statement**

Despite the difficulty of responding in an effective manner to allegations of child sexual abuse, the U.S. Catholic Church leadership is making a positive effort to change

the negative image of the Church brought about by this scandal (Rossetti, 2012). The bishops have used their authority in addressing the child sexual abuse scandal, but no one knows exactly the leadership styles that they used in handling it. The research problem was that no one had yet evaluated the leadership styles exercised by the bishops in handling the child sexual abuse scandal.

In this study, I revealed insights into how Catholic priests perceived the bishops' leadership styles in handling the child sexual abuse scandal. The interviews in this study helped to provide understanding of the priests' opinions and in analyzing the bishops' leadership styles while handling the child sexual abuse scandal. In the interviews with priests, I focused on the behavioral characteristics of the four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership.

#### **Purpose of the Study**

The purpose of this research was to identify and document the perceptions of Catholic priests on the leadership styles of Catholic bishops in the United States while dealing with the aftermath of the child sexual abuse scandal. I used a qualitative approach in collecting and analyzing the research data for this study by interviewing 11 Catholic priests in the midwestern region of the United States. The choice of the research topic was informed by the constant need to create and sustain awareness of child sexual abuse in all organizations including, but not limited to, the Catholic Church.

#### **Research Question**

The following research question guided this study:

RQ: What leadership styles do Catholic priests think the bishops exhibited in their handling of the child sexual abuse scandal in the Church?

#### **Theoretical Framework**

There is no agreed on or set definition of leadership. Mumford (1906) viewed leadership universally from two perspectives: "Firstly, leadership is a function common to all the different stages of the social process and as a function in the expression of all kinds of social interests" (p. 221). In this study, I used the theoretical framework of the behavioral characteristics of transformational leadership, transactional leadership, servant leadership, and charismatic leadership (Bass & Avolio, 1994; McCleskey, 2014; Sun, 2013; Yukl, 2014) in evaluating the leadership styles of the Catholic bishops in the United States based on the opinions of the priests with respect to how they handled the child sexual abuse scandal. Interviewing the Catholic priests required me to methodologically, carefully, and thoroughly capture and describe their opinions on how they perceived, explained, felt about, judged, remembered, and made sense of the topic (see Patton, 2002). The use of transformational, transactional, charismatic, and servant leadership behavioral framework helped me in understanding, analyzing, and describing the Catholic priests' opinions of the leadership styles of the bishops.

#### **Nature of the Study**

I employed a qualitative methodology for data gathering. The purpose of a qualitative research methodology is to bring to light the lived experiences of participants

as identified by their words (Yin, 2016). I used the opinions of the Catholic priests in analyzing the United States' Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church. In my interviews with the priests, I focused on the behavioral characteristics of four leadership styles of transformational leadership, transactional leadership, charismatic leadership, and servant leadership. The use of interviews allowed me to collect data on this important topic, and the accumulated data allowed me to understand the meaning the priests' constructed from it (see Seidman, 2013).

In this study, I employed a phenomenological research design. Roulston (2010) asserted that phenomenological interviews are useful in distinguishing the private experience of the participants. The collected interview data will help in constructing a composite description of the priests' opinions. In this study, I obtained primary data from interviewing 11 Catholic priests in the midwestern region of the United States who had been in the priesthood a minimum of 10 years. Priests of this tenure interacted with bishops related to the child sexual abuse scandal.

#### **Definitions of Terms**

For clarity and understanding, I provided working definitions of the following research terms:

Charismatic leadership: The term charismatic refers to the behavior trait that defines a person's way of doing things. Conger (2008) defined charismatic leadership "as an exclusive power to attract and inspire followers through a compelling vision and perceptions of extraordinary capabilities" (p. 96). Subjectively, it is a type of leadership

mostly associated with a person's inspirational behavior based on their other personality traits.

Child sexual abuse: Any form of sexual act against children under 18 years old (Wilson & Scarpa, 2015). Child sexual abuse includes touching and non-touching sexual acts with children that are inappropriate, together with sexual exploitation of children (Wilson & Scarpa, 2015).

Crisis: An unstable or crucial time in which the result can be disastrous to an individual, a family, community, or an entire society (Crisis, 2018). For example, an economic crisis is the cause of a struggling economy which affects or adversely impacts society. For this study, child sexual abuse by some members of the Catholic clergy is the cause of the disastrous effect and the negative evaluation of the Catholic Church in the United States.

Handling: The U.S. Catholic bishops' past and present dealings with the abuses, and accordingly establishing and implementing goals and desires today that could positively impact the outcome of tomorrow as it relates to the child sexual abuse crisis.

Servant leadership: Subjectively, the act of serving people. Servant leadership then is a type of leadership with a primary purpose of helping others. Greenleaf's (2003) described a servant leader as an individual who focuses on being a servant first rather than being a leader.

*Style*: Subjectively, the manner of operation closely associated with an individual or group (Style, 2018). In the context of this research, the reference on style applies to the

perceptions of Catholic priests in relation to their bishops' leadership styles in handling the child sexual abuse scandal in the church.

Transformational leadership: A form of leadership geared towards "helping followers embrace a vision of a preferred future while inspiring to empower them to achieve new levels of personal and corporate performance" (Herrington, Bonem, & Furr, 2000, p. 97). Transformational leadership refers to the act of responsibility in envisioning and making changes within an organizational setting (Herrington, et al, 2000).

Transactional leadership: In a transactional leadership setting, "leaders exchange promises of rewards and benefits to subordinates for the subordinates' fulfillment of agreements with the leader" (Bass & Strogdill, 1990, p. 53). This is a type of social exchange between leaders and their followers for commercial purposes (McCleskey, 2014).

#### Assumptions

Assumptions can be defined as things that are relevant to a study that are somehow out of the control of the researcher (Simon, 2011). For example, it is not guaranteed that participants will answer truthfully; I had no control over how they would answer the questions. It was my assumption that the Catholic bishops' leadership style(s) had a significant influence on how they handled child sexual abuse scandals in the Church. Interviews can be nerve-wracking for many reasons; people may agree to be interviewed or surveyed, only to hesitate when they discover the degree of self-revelation required (Josselson, 2013). I used an informed consent form in this study to help in assuring the confidentiality of the research data and anonymity of the participating

priests. Obtaining a letter of cooperation from the bishop of the diocesan locale also allowed me to mitigate the fears that the priests may have had with participating in the study.

I assumed that the Catholic priests in the midwestern region of the United States would answer the interview questions honestly and without fear. However, there was no certainty to what degree their opinions would relate to the leadership styles of the Catholic bishops in their handling of the child sexual abuse scandals in the Church. Furthermore, I had no certitude to the degree the Catholic priests' opinions would affect the leadership styles of the bishops in their role of ensuring healing and promoting reconciliation between the victims of the child sexual abuse, the alleged perpetrators, and the Church. There was also no certainty to what degree the Catholic priests perceived the bishops' leadership styles as playing a role in ensuring the credibility and accountability of the audit process regarding the child sexual abuse crisis.

#### **Scope and Delimitations**

Delimitations can be defined as "those characteristics that limit the scope and define the boundaries of a study" (Simon, 2011, p. 2). This is a reference to the things that I could control in this study. For example, I controlled who to interview, the theoretical framework, and the extent of the study. I focused on the Catholic priests in one diocese in the midwestern region of the United States, although I could have extended it to all the Catholic priests working in the United States. Expanding the research to the opinions of all Catholic priests working in the United States would have widened the scope of the research and provided more accurate results based on a broader

view. The issue of child sexual abuse is not limited to nonprofit organizations but equally expands to both governmental and nongovernmental organizations; however, this study was restricted only to the opinions of the Catholic priests living and working in the study site diocese.

All data I collected, analyzed, and interpreted was limited to my interpretation. Some of the potential participants may not have been familiar with the concepts of transformational, charismatic, servant, and transactional leadership styles, further limiting the study. The interview questions were drafted such that the answers helped in determining the priests' opinion of the bishops' leadership styles in handling the child sexual abuse without educating the participants about leadership styles. This research was limited to and informed only by the responses given by the participating priests.

#### Limitations

Limitations can be defined as "potential weaknesses in a study and are out of the researcher's control" (Simon, 2011, p. 2). The main research limitation for this study was my use of a qualitative research design since qualitative research design goal is different from a quantitative research design goal. "Qualitative and quantitative research designs have different strengths and logic and are often best used to address different kinds of questions and goals" (Maxwell, 2013, p. 29). The purpose of a qualitative research methodology is to note and report the lived experiences as described by the research participant (Yin, 2016). There are more than 190 Catholic dioceses and archdioceses in the Unites States; therefore, it would have been challenging and time-consuming to interview all Catholic priests in the United States on the bishops' leadership styles in

handling the child sexual abuse crisis in the church. My use of a qualitative research methodology limited the research scope because I conducted interviews with 11 priests in a midwestern diocese who had been in the priesthood a minimum of 10 years. The research interview questions were limited to the opinions of the Catholic priests describing the bishops' leadership styles in the handling of the child sex abuse scandal. Future recommendations for addressing the study limitations have been notated at the end of the study.

One of the ways to address the weaknesses of a qualitative study methodology is by using member checking of the interview transcripts. The use of the member verification or validation interview was helpful in "enhancing and preserving the authenticity of the results, even if the participants separated by power, differentially constructed the reality of the discussion" (Buchbinder, 2010, p. 108). I carried out member checking of all participants. This entailed sending the interview data to the interviewees asking them to confirm the correctness of the script. The verification of the qualitative interview data as a way of addressing the research weakness was to ensure the accurate representation of the participants' view of the phenomenon.

The challenges related to the use of only a qualitative study design were due to the evolving complexities of the nature of the issues addressed in this research. Since the Universal Catholic Church also exists in the United States, there is a need to understand how to reconcile the disparity of opinions between the Catholic priests and the public. However, all Catholics in the United States and around the world are expected to abide by the Canon law as the universal rule of the Church, in their spiritual practices while

exercising their civil liberties in their country of residence and citizenship (Canon 227). It is important to be well informed of the norms, goals, missions, and policies of the Universal Catholic Church together with the U.S. Catholic Church to be in a better position to address the weaknesses previously mentioned. As a Catholic, there is a tendency to lean towards favoring the bishops. Being objective in articulating the research findings was helpful in addressing any researcher bias while making recommendations for future research as a way of dealing with the research limitations.

#### Significance of the Study

The primary importance of this research study centered on the fact that few researchers have used the perspectives of Catholic priests to analyze the U.S. Catholic bishops' leadership styles in their handling of the child sexual abuse scandal in the Church. The concept of leadership styles is not limited to a nonprofit organization such as the Catholic Church but also extends to government and nongovernmental organizations in need of adapting to the complexities of the world. The leadership styles surrounding the way the Catholic bishops in the United States handled the child sexual abuse crisis should present an important lesson from which public administrators could learn.

The benefits of this study and possible contributions to the research field provided a helpful resource for future studies, especially in areas related to the research topic. The results of this study served as an excellent reference for any future research that aims to interview Catholic priests in analyzing the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church. This research also served as a resource to the Catholic bishops of the United States or other countries by providing the

opinions of the priests on the bishops' leadership styles in their agenda to create and sustain a safe environment, free of child sexual abuse for the children in their congregations. Providing a safe environment for children may sound utopian but not making an honest effort to protect children from sexual abuse in the church or any other environment is a disservice to humanity.

The results of this research are relevant to administrators of both public and nonprofit governmental organizations as they may learn from the Catholic bishops' experience how they can better sustain their existing policies with regards to child sexual abuse. The phenomenon of the child sexual abuse crisis in the Roman Catholic Church in the United States has shocked the Church more so than any previous issue of clergy misbehavior (Shelton, 2012). The Catholic dioceses in the United States will benefit from this study, along with other dioceses in the Universal Catholic Church due to the transferability of the research based on the similarity of all Catholic dioceses throughout the world. It is my hope that the USCCB will benefit from this research by using it as a resource in their deliberations on the future of the Church with regards to child sexual abuse by some members of the clergy. All future research pertaining to the impact of transformational leadership on a religious organization would equally benefit.

The significance and uniqueness of this research in general and to the public policy administration field, is that it fills a research gap by using the opinions of the Catholic priests to analyze the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church. The results of this study will help in breaking new grounds, providing research resources, and establishing a gauge for similar research

to follow as well as helping to create awareness for the need to continue in the efforts to create and sustain a safe environment for children and young people in the Church.

#### **Implications for Social Change**

This research was critical as a way of creating awareness towards the need to continue in the effort to build and sustain a safe environment for young people, while being open in dealing with the challenges of the child sexual abuses in both governmental and nongovernmental organizations. Studying the research topic also helped to provide new information to ensure the sustainability and maintenance of the already promulgated and implemented child sexual abuse programs and policies in the Church. This information may also be used by policymakers and leaders to make changes in their leadership styles to effect more positive results.

#### **Summary**

The complex nature of any organizational context is that it is not an easy task for a person to learn every aspect of organizational life (Finkelstein et al., 2008). In this study, using the opinions of the Catholic priests on the leadership styles of the Catholic bishops in creating a safer environment for children in the Church, can have a positive impact on the Catholic bishops in the United States. The USCCB (2011) struck the right tone by recognizing the Church's mistake in handling the crisis, and they apologized and assumed responsibility for their part in not protecting the congregations confided to the Church's care. It is now time for the Catholic bishops to keep moving forward in addressing people's concerns by establishing a more sustained victim assistance program;

by educating parents and children on how to recognize, report, and prevent child abuse of any kind; and by taking the measurable steps in sustaining their efforts.

In this chapter, I addressed the study background, problem statement, study purpose, research questions, theoretical framework, and the nature of the study. I also presented the types and sources of research data, the definitions of terms, study assumptions, scope and delimitations, study limitations, and the study significance. In the second chapter of this research study, I focused on reviewing the documented literature related to the topic. I also focused on leadership, leadership theory foundation, transformational leadership, transactional leadership, charismatic leadership, and servant leadership, and how they relate to dealing with child sexual abuse allegations within the Church. I examined the Vatican/Holy See leadership perspectives on child sexual abuse, USCCB's leadership policy on child sexual abuse, and USCCB's research efforts on clergy child sexual abuse.

#### Chapter 2: Literature Review

#### Introduction

Child sexual abuse has been a major issue in the Universal Catholic Church. The church's world leadership is dedicated to addressing the child sexual abuse issue so as to regain trust, sustain measurable gains, and reestablish integrity within the congregation and the public (USCCB, 2011). The Catholic Church in the United States, as part of the Universal Catholic Church, is under the leadership of Pope Francis I. In the early 2000s, some clergy within the U.S. Catholic Church were discovered to have engaged in forms of the sexual abuse of children (Landry, 2016). Upon this discovery, the USCCB (2015b) tried to contain the crisis and reestablish trust among the people by acknowledging their mistakes and role in the abuse and the agonies of the victims and apologizing and accepting responsibility for failing the victims and the Catholic people in the past.

The purpose of this research was to analyze the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church through the perspectives of Catholic priests. In interviews with the priests, I focused on the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership. According to Tyson et al. (2014), the need for transformational leadership is due to its focus on the transformation of followers' values and beliefs to attain the organization's objective. A transformational leader with a vision must believe in the idea and incite followers to trust in that vision, while transactional leadership focuses more on the commercial benefit of the transaction (Tyson et al. (2014).

The review of relevant literature on the impact of transformational leadership that I conducted helped in understanding the necessity of this leadership model in organizations. Although most researchers have taken the qualitative approach to this topic, there are both qualitative and quantitative studies that have explored the impact of transformational leadership in organizations. Hussain et al. (2014) studied the influence of transformational leadership on process and product innovation in higher education in Iraq, and the author reasoned that a substantial relationship exists between transformational leadership and process innovation together with product innovation in an organization. This study and its findings are relevant to my study it will help in highlight the importance of transformational leadership style in organizational change.

Transformational leadership could be used as an instrument for shaping organizational culture. Gandolfi (2012) postulated that there exists a deep and insightful relationship between the concepts of transformational leadership and intercultural communication competence. Gandolfi determined that the effects of cultural orientation and communication were beneficial to the transformational leadership framework.

Warrick (2011) highlighted the importance of immediacy in keeping with the world's complexities and changes for transformational leaders in organizations. Leaders with visionary qualities versus transactional qualities that focus more on personal benefits are required to meet modern demands (Warrick, 2011).

On the other hand, according to Barth (2010), organizations in crisis must look for long-term crisis management to determine fundamental structural and governance changes needed to prevent poor crisis management in the future. The visionary quality

transformational leadership allows an organization to envisage long-term solutions and strategies for managing a crisis (Barth, 2010). In handling the child sexual abuses in the past, U.S. Catholic leadership lacked the visionary quality of transformational leadership to create a preventative policy as a way of protecting children, young people, and the Catholic faithful from clergy child sexual abuses. Landry (2011) wondered whether the Pope, as the head of the Catholic Church, needed to be prosecuted by an international court. Similarly, Groome's (2011) examination of the Catholic Church's child sexual abuse crisis was to determine through the Ryan's Commission report of May 2009, whether the Church committed a crime against humanity. Groome's finding was that the failure of religious superior to report abuse might not constitute a form of violence against children. But, "it does manifest criminally reckless and wanton disregard for the crimes committed against the children and as such should form the basis of a crime against humanity" (Groome, 2011, p. 503).

There is a need to train emergency personnel on how to identify child abuse. Louwers et al.'s (2012) examination of the barriers to identifying child abuse during an emergency screening of a child ended with a recommendation on the importance of ensuring that facilitators are well trained to recognize and identify violations while screening every child brought to the emergency room. To contain the child sexual abuse crisis in 2002, the USCCB (2015b) promulgated a child abuse prevention policy, the *Charter for the Protection of Children and Young People*, that was later revised in 2011. The 2011 revised charter outlined the progress made by the Catholic leadership in the United States in handling the child sexual abuse scandal since the inception of the crisis

in 2002 (USCCB, 2011). However, the revised charter does not have specific measurable and sustainable ways to ensure the effectiveness of the bishops' recommended safe environment programs and policies to protect children and young people in the Church (USCCB, 2011).

In this literature review, I will focus on exploring the purpose of this study. In Chapter 2, I will also outline the literature search strategy, analyzing related research in the areas of leadership, leadership theory foundation, and transformational leadership. This chapter will also contain a discussion of other leadership styles, such as the servant, transactional, and charismatic leadership styles, while outlining the four essential leadership characteristics, comparing transformational and transactional leadership, and the research theoretical framework. In the final part of Chapter 2, I will review the Vatican leadership perspectives on child sexual abuse, the USCCB's leadership policy on child sexual abuse, and the USCCB's research efforts on child sexual abuse by clergy.

#### **Literature Search Strategy**

To search for extant literature to review, I accessed databases through Walden University's e-library with a focus on published peer-reviewed articles and journals related to the research topic, focusing more on literature that was published in the last 5 years. The most accessed databases for this search were EBSCO, ProQuest Central, Sage Premier, Academic Search Complete, PsycINFO, Thoreau Multi-Database Search, Psychology Databases Simultaneous, PsycARTICLES, ProQuest Criminal Justice, and the Vatican website. Searching with the term *leadership* yielded 21,604 peer-reviewed materials from 2010 alone. Additional search terms included *transformational* 

leadership, servant leadership, transactional leadership, charismatic leadership, United States Conference of Catholic Bishops or USCCB, transformational leadership impact, clergy child sexual abuse, child sexual maltreatment, Burn's leadership theory, Bass theory of transformational leadership, principles of the servant, transactional, and charismatic leadership, Catholic penal law of child sexual abuse, Vatican and USCCB, and leadership in crisis situations. The numerous research materials and reports on the USCCB website were used to better understand the nature, history, and scope of the child sexual abuse by clergy.

# **Leadership Theory Foundation**

In examining leadership styles in general, it is important to note that different expressions of leadership emerge. These expressions include elements such as transactional, transformational, charismatic, moral, ethical, collaborative, contingent, servant, and many others (Burns, 1978). Notably, moral leadership emerges from, and always returns to, the basic wants and demands, aspirations, and values of the followers (Burns, 1978). There is no agreed or set definition of leadership. Mumford (1906) viewed leadership universally from two perspectives:

Firstly, leadership is a function common to all the different stages of the social process, from its simplest and most primitive to its most complex highly developed manifestations. Secondly, as a function in the expression of all kinds of social interests, whether the interactions be inter-individual or inter-group (p. 221).

The commonality of the leadership function to the human social process is factored into the human interactive and interdependent nature and the natural yearning for direction and problem solving (Mumford (1906).

The primary need for leadership is mostly visible within the interrelated and interactive human social process, whether it is within an individual or group association. Van Vugt (2006) saw leadership as the result of a social process in which interacting individuals coordinate their activities to accomplish shared goals. As a social being, the interdependent human nature within a social process is such that no individual can exist without the help of another (Van Vugt, 2006). Through this process of social process, interactions, and interdependence, individuals shape, construct, and modify their environment (Van Vugt, 2006). This shaping, reshaping, changing, and making of the different cultural environment, within an interactive social setting, results in the constructionist concept (Van Vugt, 2006).

Similarly, Spisak, O'Brien, Nicholson, and Van Vugt (2015) used Niche's idea of construction concept to portray a good example of how biological progression and traditional progression interrelate to procure an integrative basis for new structural leadership. The authors also highlighted how "leadership could regulate and balance the tension between self-interest and group-interest" (p. 291). Individual choices, coupled with interactive activities between one person and the whole community, solve a common need, which may lead to entrusting leadership power to a person within a formal setting (Spisak, et al, 2015).

Two major leadership approaches include those positioned to lead and those who lead others through inspiration. Therefore, positional leaders are those who lead because of the power vested in their position of authority, while inspirational leaders have a driving force for their vision, purpose, and belief as they lead without reliance on positional authority (Cooper, 2015). Positional leaders lead by the formal authority vested in them by the people in a community, city, state, or a nation. Inspirational leadership, on the other hand, does not have legal power to lead, but has the vision and passion for a mission while inspiring others to believe in the idea. Leaders whose transformational qualities exemplify both formal and informal leadership include leaders such as Alexander the Great, Nelson, and Patton, to name a few (Cooper, 2015).

In this study, I used the theoretical framework of the behavioral characteristics of transformational leadership, transactional leadership, servant leadership, and charismatic leadership (see Bass & Avolio, 1994; McCleskey, 2014; Sun, 2013; Yukl, 2014) to evaluate the leadership styles of the Catholic bishops in the United States. I also evaluated how the bishops' handled the child sexual abuse scandal based on the perspectives of the priests. The use of transformational, transactional, charismatic, and servant leadership behavioral framework helped my understanding, analyzing, and describing the Catholic priests' opinions of the leadership styles of the bishops in handling the child sexual abuse scandal.

### **Transformational Leadership Theory**

Although many scholars have defined transformational leadership in various ways, there are common elements which are unique to its definition. An important

notation of the transformational leadership definition is that it "helps followers embrace a vision of a preferred future, inspires and empowers them to achieve new levels of personal and corporate performance while encouraging individuals and supporting innovative ventures" (Herrington et al., 2000, p. 97). To promote and rally followers towards a vision, it is important that a transformational leader also express a strong belief in the vision, while creating a trusting relationship with the members. It is important to examine transformational leadership theory from the perspective of Burns (1978) so as to understand the origins of transformational leadership. Burns first conceptualized the theory, and Bass (1985) later advanced the theory to new heights.

Transformational leadership theory origin. The theory of transformational leadership can be traced back to Burns (1978) who viewed it from the perspective of bridging a gap between the literature on leadership and followership. For him, the failure in studying leadership hovers around the divergence between the literature on leadership and the study on followership (Burns, 1978). He explored his leadership perspective from a leader and the follower relational point of view and concluded that there are two fundamental leadership types that express this relationship: transactional and transformational. In transformational leadership, the leader's interest is in the potential motives of the members while seeking to placate their needs and to fully engage the follower (Burns, 1978). Laconically, in a transactional leadership setting, a leader's interest is only for transactional purposes and does not go beyond it; a transformational leadership's interest extends beyond the temporal need, to the long-term self and societal developmental needs.

An important notation is that, in a transactional form of relationship, leaders approach followers with the intent of exchanging one thing for another such as jobs for votes or subsidies for campaign contributions. While a transforming leader recognizes and exploits an existing need or demands the follower's potential development (Burns, 1978). Therefore, a transactional leader is more interested in a commercial or business type of relationship with the members, while a transformational leader is more interested in improving followers' characteristic and qualities and in elevating them to becoming leaders themselves. Transformational leadership focuses on improving the members and advancing them to bringing out their best individual qualities, which sometimes lead to the members developing their own leadership qualities. The followers of transactional leaders have an association that does not go beyond business and mutual personal gains, which does not improve the supporters' potential. Bass (1985) later advanced and built upon Burns' (1978) idea of transforming/transformational leadership.

Transformational leadership theory expansion. Bass (1985) advanced Burns' (1978) conceptualized transforming or transformational and transactional leadership model into what is currently known as transformational leadership. For Bass and Strogdill (1990), Burns concluded that "transformational leadership and transactional leadership are at the opposite ends of a continuum" (p. 220). Bass and Strogdill lean more on transformational leadership augmenting the effects of transactional leadership. In other words, the leader is more interested in ensuring that the subordinates or followers are efficient and satisfied with their work while trying to improve their potential. The aim of transformational leadership is followers' self-improvement, self-satisfaction, and

possible development, and not the contingent or commercial reward of a transactional leadership relationship.

Transactional leadership relationships hover around the promise of rewards and benefits for the subordinate's fulfillment of an agreement with the leader (Bass & Strogdill, 1990; McCleskey, 2014). The transactional leadership style ends with the fulfillment of the contract and the reception of rewards. On the other hand, Bass and Strogdill (1990) noted,

The transformational leader asks followers to transcend their self-interest for the benefit of the group, organization, or society by considering their long-term needs, and developing themselves, rather than their immediate needs, becoming more aware of what is important. (p. 53)

The key consideration here on the part of the followers of a transformational leader is to think of building a beneficial relationship with a transformational leader in the long run, rather than focusing on momentary satisfaction that adds nothing to their self-worth. The strongest effect of transformational leadership seems to be on followers' attitudes and their commitment to the leader and the organization (Bass & Riggio, 2006; McCleskey, 2014). The performance of the members is positively affected due to their dedication to the transformational leadership's organizational goal or vision. Some of the ways that a transformational leader can positively encourage followers towards an organizational commitment are through the four I's of transformational leadership: individualized consideration, intellectual stimulation, inspirational leadership, and idealized influence (Bass & Avolio, 1994). The four transformational leadership

characteristics, their tenets, and their relationship to the study topic are further discussed below.

For a transformational leader to exert individualized consideration, it is important for them to show that they care for their followers' individual needs for growth by coaching and mentoring them. For Bass and Riggio (2006) the coaching and mentoring provided to the members by a transformational leader provides them with a sense of increased competence to carry out orders. Because of the individual differences, some followers may learn faster than others. Therefore, it is important that transformational leaders consider the members individually when creating opportunities for learning to account for the differences in backgrounds. In other words, an effective leader must avoid glossing over the different constraints faced by the members, their various career ladders, the different bosses to whom they report, and their diverse needs (Bass & Avolio, 1994). Nevertheless, there is current literature on transformational leadership which portrays its multiple applications in research studies.

For a transformational leader to intellectually stimulate their followers, it is important to provide them with opportunities for growth by supporting their innovative and creative efforts without micro-managing them. For Bass and Riggio (2006), the transformational leader increases commitment by employing intellectual stimulation. Some of the ways that transformational leaders increase intellectual stimulation is by ensuring that all assumptions are questioned, providing a new approach to issues, and rephrasing questions as a way of stirring up the creative ingenuity of their followers. The leaders applaud their supporters' original ideas while trying not to criticize them publicly.

For a transformational leader to exert an inspirational motivation, it is important that they promote their mission and vision by helping the followers understand them.

Transformational leaders use inspirational motivation to build the followers' commitment to the organizational mission or goal, while arousing their physical and emotional excitement in the process and encouraging values, beliefs, and responsibilities (Bass & Riggio, 2006; McCleskey, 2014). This kind of inspiration from the leader helps the followers in transcending the immediate reward and thinking about the effects and benefits of the job.

Similarly, inspirational motivation would be a valuable leadership quality expected of the members of the Catholic clergy, especially the Catholic bishops of the United States in their efforts to create a sustainable and safe environment, free of child sexual abuse. A transformational leader, in contrast to transactional, charismatic, and servant leader, needs to convince their followers that they have confidence in them. The importance of a transformational leader showing trust in the members is that the transformational leader reinforces the supporters' self-esteem through expressions of trust in the followers (Bass & Riggio, 2006; McCleskey, 2014). The bishops need to work in collaboration with the clergy and the religious staff to show that they have faith in them as they work together in creating a safe environment for children in the Church.

Idealized influence is one of the natural leadership qualities from the office of the Catholic bishops in the United States and around the world, which they can use positively or negatively. For a transformational leader to ideally influence their followers, it is important that they exert and establish an honest relationship with their members based

on good reputation and impeccable integrity. The effectiveness of transformational leadership rests in providing, through an idealized influence, a role model for working well with others of different opinions; the leader should be someone whom other members will want to emulate (Bass & Avolio, 1994).

Therefore, in relating to the followers, a transformational leader needs to exude the capacity to maintain integrity and a good reputation among the members. Under the leadership of the diocesan bishops, this equally applies to the members of the clergy in the Unites States. To explain the charisma of transformational leadership, Bass and Strogdill's (1990) assumption is that, since followers want to be the leader, they are transformed by protecting the idealized leadership image they wish to emulate while striving to become like that image. For the leader, the followers preserve the idealized identity of the leader, and the followers develop a sense of who they are and what they want to become by identifying with that image (Bass & Strogdill, 1990; McCleskey, 2014). It is from this explanation that we have a sense of what is currently known as the idealized influence as part of the components of transformational leadership.

# **Transactional Leadership**

Some leadership styles may transcend the whole idea of seeking only the interest of the leader. It is important to note the emphasis on transactional leadership being defined as a form of commercial exchange between leaders and followers (McCleskey, 2014). The nature of the social exchange between the leader and the follower is such that both have something to benefit from in the relationship. It is noteworthy that "the leader and the followers perceive each other as being potentially instrumental to the fulfillment

of each other's needs, say, for the completion of a task" (Bass & Bernard, 1990, p. 319). It is the leader who defines the specifics and conditions of the transaction with the followers, which hovers around the self-satisfaction of the leader's need. Corrective actions in the form of punishment may be the effect of non-satisfaction of the leader's needs.

The leader's relationship with the followers in the transactional leadership is more of a commercial type of relationship. Still, followers expect their leaders to provide them with an opportunity to yield promising results and to receive just rewards (Bass & Bernard, 1990). The inflexible and rigorous nature of transactional leadership is such that the leader lays down the rules and regulates the social exchange between themselves and their followers. The person leading in this context becomes the executive, judicial, and legislative entity while exercising their authority in rewarding, punishing, and accessing the job performance of the followers.

A major criticism of transactional leadership is its strict nature to the extent that the leader applies rules and regulations for the members to follow. Importantly, the goal of transactional leadership is to increase the organization's productivity by providing opportunity geared towards a follower's self-interest free of workplace anxiety with focus on strong organizational goals (McCleskey, 2014). Transactional leadership style gives emphasis to the behavior of the leader rather than their character, whereas transformational leadership addresses both nature and any individual differences. In a changing society that is becoming more and more multicultural, it is becoming vitally necessary that leadership styles be a good fit, meeting the needs and desired goals and

outcomes of the followers. Notably, McCleskey (2014) and Bryant (2003, outlined the key features of transactional leadership as responding to self-interest and rewards members while ensuring promised benefits and rewards to those who met their goals. Some of the operational notations of the transactional leadership include the emphasis on rewards, promise, and self-interest relating to the follower's efforts, meeting their goals, or getting the work done (McCleskey, 2014; Bryant, 2003).

## **Charismatic Leadership**

Charismatic leadership is a style of enchantment and charm. It takes a leader's charisma to get the followers excited about the organizational vision. Importantly, the "scholarly usage of the term charisma came through the works of the German sociologist Max Weber from 1864 to 1920" (Charisma, 1986). In a general sense, the term charisma refers to the endearing features and ability ascribed to the personality of an extraordinary leader by the follower (Charisma, 1986). The charismatic leader studies his or her audience, their behavior, body language, facial expressions, mood, etc., and knows how to charm the followers into believing his or her rhetoric. Importantly, Yang and Zhu (2016) highlight the connection between the charismatic's leadership behavior and the leader's effectiveness in relating with followers. Through persuasion and mystique, the charismatic leader can goad individuals into a feeling of superiority. Horn, Mathis, Robinson, and Randle (2015), see the ability to greatly motivate followers as an outstanding attribute of charismatic leadership. Charismatic leaders motivate their followers through their eloquence and behavior.

The member's perception of a leader is crucial. For Conger (2008), Max Weber's explanation of charismatic leadership determination hovers on the opinion of the followers that the outstanding nature of an individual makes him or her worthy to lead. The influence, power, and authority of charismatic leaders are not based on a political ballot. The charismatic leader's magnetic and exceptional qualities set them apart to the extent that their members select them based on their rhetoric and the charisma to entice and motivate others via persuasive ideas (Conger, 2008). Consequently, it is the members that determine whether a leader is charismatic or not based off his or her exhibited leadership qualities and behaviors.

A combination of one leadership model with another in a leader may be the solution to tackling the complexities of our times. Mumford and Strange (2002) state that "the concept of charismatic and transformational leadership represents a truly successful attempt to identify the characteristics of outstanding leaders" (p. 127). However, a combination of both transformational and charismatic leadership in one person would yield an impressive result. Some of the outstanding charismatic leader's behavioral features attributable to charismatic leadership include desire for changing the current situation, removing obstacles for change, making possible new attractive ideas, stressing on organizational identity and interest, being unafraid to take personal risks and to sacrifice self for the organization (Kwak, 2012; Yukl, 2014). The charismatic leader's behavior and charisma complement each other to the extent that without either, the charismatic leader could not merit the name.

# **Servant Leadership**

The idea of servant leadership is not new and has been noted in the lives of individuals such as Jesus Christ, Saint Mother Theresa of Calcutta, Mahatma Gandhi. In examining the origin of the term servant leadership, it is important to note that in 1970, Greenleaf (1977) came up with the term servant leadership, which he applied to both educational and business settings in his seminal essay. After more than four decades after its discovery, this leadership style continues to be relevant and applies importantly to organizational management and administration. The fundamental question that Greenleaf wanted to examine in 1970 was can "the roles of servant and leader be fused in one person of all levels of status or call and can that person be productive?" (Greenleaf, 2003, p.1). His conclusion after a careful examination of the question mentioned above was a resounding "yes." Greenleaf (2003) explains his affirmation by stating that servant leadership is powerful and transformative especially in the turbulent changes of the new century for which it is particularly well-suited. The individual behavior of the servant leader is equally a key factor that affects their relationship with the followers.

Subsequently, a significant notation patterning to the servant leadership is the result of the leader's behavior on the supporters. Servant leadership flourishes more within a serving culture. Notably, a serving culture is a key mechanism through which servant leadership behavior affects individual and unit outcomes (Wayne, et al., 2014). While serving others, the servant leader's behavior positions them in such a way that the followers strive to emulate or mirror his or her serving behavior. The servant leadership behavioral characteristics of the servant leader are different from other leadership styles.

One of the fundamental and identifying behavioral features of servant leadership is the desire to serve first and not to lead. For Greenleaf (2003) the servant leader is a servant first and begins with the natural feeling that he or she wants to serve while consciously aspiring to lead. It is important to highlight that the first desire of the servant leader is to be a servant first, not a leader; the leadership position may be the side effect of the servant leader's service. In serving others, the servant leader is improving and bettering the lives of the people he or she is serving.

For the servant leaders to improve the lives of their members, it is important that they possess the leadership characteristics associated with this leadership model. The characteristic traits of servant leadership are: paying attention to people; being compassionate, healing, and conscientious; have a commitment to the growth of others, persuasion, building community, conceptualization, far-sightedness, and stewardship (Greenleaf, 2003; Sun, 2013). In prioritizing service to others, it is important that the Catholic leadership depends not only on using the characteristics of this leadership model, but also considers incorporating it with the transformational leadership characteristics.

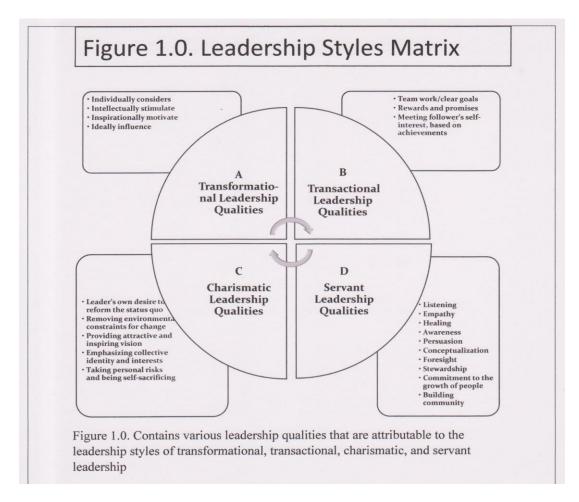
### **Transformational Theoretical Application**

Transformational leadership was used in McKnight's (2013) research to examine transformational leadership within an organizational change. McKnight's research was a literature review with an interest in examining transformational leadership and change management theories to identify traits that are beneficial to continuous change and radical, punctuated change. He used an appreciative research strategy to show that

organizational change has some residual impacts, the most obvious of which are uncertainty and turbulence (McKnight, 2013). My research went beyond using literature and document reviews and included the use of qualitative research methodology by interviewing 11 Catholic priests in a midwestern state. McKnight's research finding was that punctuated change within an organization requires increased flexibility and responsiveness amongst members, particularly if the modification will result in the transformation of the organization's structure, product, and service.

### **Research Theoretical Framework**

In this research, I focused on using the theoretical framework of transformational leadership, transactional leadership, charismatic leadership, and servant leadership as defined by Kwak (2012); McCleskey (2014); Sun (2013); Yukl (2014). I used each leadership framework in analyzing the Catholic priests' opinions of the leadership styles of their bishops in handling the child sexual abuse scandal in the Church. Figure 1 contains the summary of all the characteristics of the leadership styles as defined by Bass and Avolio (1994); Greenleaf (2003); Kwak (2012); Bryant (2003). The research study areas are leadership, transformational leadership, transformational leadership in crisis situations, child sexual abuse, Holy See/Vatican leadership perspectives on child sexual abuse, and the USCCB's policy on child sexual abuse. Additional research study areas include the USCCB's research study on clergy child sexual abuse.



*Figure 1.* Characteristics of four leadership styles. <sup>A</sup>Bass and Strogdill (1990); McCleskey, (2014); <sup>B</sup> Bryant (2003); McCleskey (2014). <sup>C</sup>Kwak (2012); Yukl (2014). <sup>D</sup>Sun (2013); Greenleaf (2003).

# Leadership

Despite the subjective perceptions on leadership phenomenon in both humans and animals, the phenomenon of leadership is more visible in humans than in animals. The origin of leadership can be traced back to the biblical era. Various scholars have defined the concept of leadership in recent history as a study spanning more than a century (McCleskey, 2014). Mumford (1906) defined leadership as the universal function of association standard in every social process expressed in every social interest. Bass and

Strogdill (1990) took a more biblical and classic view: Egyptian hieroglyphics and early Chinese writings have traces of leadership. There are traces of leadership descriptions in the early Egyptian hieroglyphics, Greek, and Chinese classics and much more. For example, "Chinese classics, written as early as the sixth century B.C., are filled with hortatory advice to the country's leaders about their responsibilities to the people" (Bass, 1990, p. 103). Most of the thoughts expressed in the Greek, Latin, and Chinese classics are still utilized today and have formed the foundations on the leadership descriptions in our time.

The concept of leadership is closely tied and associated with the notion of followership. Leadership is only as effective as the results of devoted followers. Khuong and Hoang (2015) investigated what effect leadership styles have on employee motivation. The study's purpose was to find out the role of leadership styles in employee motivation in auditing companies. The leadership style that used reward and compensation was considered a valuable and efficient behavioral tool for achieving job satisfaction. Importantly, the findings indicated a close association between employee motivation and leadership styles. Without followers, there would be no one for the leaders to lead, since it is followership that gives meaning to the concept of leadership. No one knows the leadership style exercised by the Catholic bishops in handling the child abuse scandal.

Spisak et al.'s (2015) application of the leadership concept is entirely different. They used individual social interactions as a modifying factor in the biological and cultural foundations of the modern idea of leadership in organizations; the adaptive

results of these evolutionary interactions are formal structures that facilitate coordination of large, post-agrarian organizational networks (Spisak et al., 2015). The interactive social settings become an important factor towards the realization of this sense of the modern idea of leadership without which the conception and evolution of leadership would be practically impossible. To explain and predict the development of current leadership, Spisak et al. highlighted the balancing act between self-interests and group interests in organizations and showed how leadership must regulate this tension to maintain organizational fitness. The emergence and evolution of leadership hovers around finding regulatory and balancing acts within the individual's social interacting pressures. Transformational leadership qualities would be helpful in creating progressive, regulatory, and balancing actions of the people in perceived and existing tensions within interactive social environs.

Transformational leadership theory. The concept of transformational leadership can be traced back to Burns (1978) who focused on the mutual association between leadership and followership. For him, the person entrusted with leadership looks for possible motivation in the members while seeking ways to fully engage them (Burns, 1978). This mutual engagement between leaders and followers can either be transactional or transformational. Transactional leadership is only interested in the here and now gains between the leader and the followers, to the extent that the self-worth, self-development, self-improvement, and self-satisfaction qualities noted in a transformational leadership are lacking. Bass (1990) took the notion of transformational leadership to a whole new level while emphasizing that self-interest transcends the nature of transformational

leadership to the extent that the followers' interests hover only around the societal, organizational, and long-term satisfaction, together with the followers' self-development, self-improvement, and self-satisfaction.

On the other hand, the onus to create a safe environment for members is one of the responsibilities of those in a leadership position in any organization, including the Catholic Church, to the extent that safety procedures need to be clearly and concisely communicated to organizational members or followers. Kelloway, Mullen, and Francis (2006) noted that far fewer studies had examined the potential impact of passive or ineffective leadership on workplace safety, while arguing that leaders may not be actively involved in the promotion of safety. This noninvolvement leadership is not surprising since some leaders are not familiar with the details of their organizational security procedures. Kelloway et al. examined the role of transformational and passive leadership in promoting workplace safety. His examination predictably demonstrated via confirmatory factor analysis that safety-specific transformational leadership and safety-specific passive leadership are empirically distinct constructs. Importantly, there is a diametrically opposing relationship between transformational and passive leadership on safety consciousness, and the climate in which safety events and injuries are predictable.

There is no doubt that, transformational servant leadership in contrast to transactional or charismatic leadership would be very effective in any organizational and religious setting, including the Catholic Church, in line with her organizational mission and objectives, in dealing with the clergy child sexual abuse crisis. The relevance of transformational leadership in religious settings is undebatable. Scarborough (2010) used

semantic critique of the core features of influence, strategizing ability, and persuasion to define Christian transformational leadership. The capacity to strategize is not without heavy demand on the leader, especially emotionally. The transformational leaders' behavior and emotions need to exude inspiration always. There are many Catholics in the United States and around the world that derive great inspiration for emotional stability from, and place a high moral expectation on, the clergy, especially bishops. It is imperative that Catholic bishops continue in their effort to live up to the high expectations of the faithful, by ensuring and sustaining a safe environment for all Catholics. This kind of change, within the confines of the organizational mission, goals, and visions, as expressed in Canon Law, requires the transformational servant leadership qualities to succeed.

Transformational leadership is a helpful tool for maintaining high performance, production, and ethical standards, as well as maintaining trust relationships within the organization. Schwepker and Good (2010) conducted a study of 345 business-to-business salespeople and found that transformational leadership had an indirect effect on moral judgment, as well as direct effects on supervisory capability orientation and the seller's trust in the manager. This indirect effect means that transformational leadership becomes a vital component of managerial success and effectiveness. Managers can employ coaching, mentoring, and other inspirational qualities as useful tools in establishing the success of the employees or followers.

Regarding the inspirational motivation of transformational leadership, McCleskey (2014) states that leaders use their inspirational motivation as a tool to challenge

followers to higher organizational values by being open-minded without critiquing their every action but aim to increase their self-confidence. Such behavior is an excellent performance motivator and an effective way of problem-solving and increasing followers' self-efficacy. Inspirational motivation is one of three major components of transformational leadership. For Caillier (2015), in organizations, transformational leaders motivate employees through several practices. First, they provide inspirational motivation by articulating a clear, stimulating vision. With regards to the current research topic, the Catholic bishops of the United States are better positioned as diocesan leaders through inspirational motivation, to ensure that they are creating a sustainable, safe environment for the congregation. Transformational leadership would be helpful in guiding the church's efforts to ensure the safety of all children from sexual abuse.

Carmeli, Sheaffer, Binyamin, Reiter-Palmon, and Shimoni (2014) see the transformational leadership concept as a valuable tool for creating a pathway through which employees can develop their problem-solving ability. For them, being flexible and creating a non-critical atmosphere are among the ways that transformational leaders enhance the problem-solving capacity of their members. It does not mean that employees who are great problem solvers have leaders with transformational leadership style (Carmeli et al., 2014). Consequently, it is important that the Catholic bishops in the United States give priority to the human and professional development of all church employees and the congregation by empowering them to be problem solvers. Using transformational leadership qualities will help to empower the Catholic faithful to be problem solvers.

Moreover, Balyer (2012) purposively sampled 30 teachers from six different schools, determined to have equal gender variables in the 2011/2012 academic year in İstanbul, Turkey. The purpose of the research study was to analyze the transformational leadership behaviors exhibited by the principals in their daily duties. In fact, the research results show that school principals demonstrated high levels of transformational leadership characteristics concerning idealized influence, inspirational motivation, individualized consideration, and intellectual stimulation behaviors. Therefore, the result of the research showed the relevance of transformational leadership characteristics or components on the effectiveness of school principals. The relevance to the current research is that it was helpful in using the opinions of the Catholic priests in analyzing the United States Catholic bishops' leadership styles in handling the child sexual abuse scandal in the church. The priests' interviews focused on using the behavioral characteristics of four leadership styles known as transformational leadership, transactional leadership, charismatic leadership, and servant leadership.

Sarlak, Javadein, Esfahany, and Veiseh's (2012) study used a qualitative interview to gain in-depth knowledge while investigating the applicability of transformational leadership in a spiritually oriented organizational setting. Importantly, Sarlak et al. assumed that the transformational leadership style helps with the creation of spiritual space by (a) fulfilling long-term objectives, (b) developing successful programs, (c) making the necessary structural changes, and (d) changing organizational elements. These underlying assumptions need to apply not only in spiritual or religious organizations such as the Catholic Church but also in public institutional developments.

Notably, Mitchell, et al., (2014) examined the role of transformational leadership's diverse team effectiveness and suggested that efficiency is enhanced in interprofessional teams when transformational leaders engender dynamics characterized by inter-professional motivation and openness to diversity. The nature of transformational leadership on team effectiveness, whether in a clinical, vocational, educational, public, non-profit, or other organizational setting, cannot be dismissed. The findings from a survey-based study of 75 healthcare teams, support the utility of this model (Mitchell et al., 2014). Similarly, in this study, I used the opinions of the Catholic priests in analyzing the United States Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church. The priests' interviews focused on using the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership.

Transformational leadership in crisis situations. The positive impact and effectiveness of transformational leadership in various walks of life is such that it is fitting to assume the transferability and usefulness of its effectiveness in organizational crisis situations such as the clergy child sexual abuse crisis in the United States and around the world. Most institutional crisis situations end with the quest for a new beginning, change in old "modus operandi," strategic planning, and a call for innovation. The assumption of this research hovered around the conception of transformational leadership being positioned to redirect or reorganize any organization in need of innovation, change, or in crisis.

Coldwell, Joosub, and Papageorgiou (2012) examined the public perception of South African businesses in crisis. For the authors, transformational leadership used responsibly in an industry crisis could restore stability faster than any other leadership quality. For organizations to move forward towards positively realizing innovation or change, transformational leadership qualities need to be directly or indirectly employed. Importantly, Coldwell et al.'s findings suggest that a strategy of prescriptive remedial steps in conformance to a structured, plotted-out procedure may allow companies to minimize post-crisis damage and to share price values. The article's strength is that it relates to the current research study due to the prescriptive steps taken by the Catholic bishops in 2002 at the beginning of the child sexual abuse crisis to find a remedy through the promulgation of the policy on protecting young people, which is currently in use throughout the Catholic dioceses in the United States. The article did not specifically exact the importance of followership in crisis.

Singh (2014) puts the role of transformational leadership in a crisis in perspective by examining its role in transformational collegial leadership (TCL). Singh defined TCL as a collective action resulting from individual empowerment through transformational leadership process of institutional or business knowledge. Since the leader cannot engage in effecting change alone, there is a need to involve everyone in the organization and to see change or innovation as a collective effort and not just the leader's effort. On the extent of TCL's effect on human resources development in a primary education environment, one of Singh's research findings is that the more that change characterizes the workplace, the more the leaders must motivate their employees to provide leadership

as well (Singh, 2014). The article's strength is that it extols the need to develop the individual skills and leadership in organizations through motivation, but everyone cannot be a leader.

Change can cause anxiety or fear of the unknown; therefore, organizational innovation during times of change requires the transformational leadership qualities to impact individuals in the organization positively, to the extent of motivating and inspiring them to perform efficiently, even in crises. Odetunde (2013) collected data from 106 employees in eight Nigerian service organizations while investigating the effects of transformational and transactional leadership styles and leaders' gender on organizational conflict management behavior. Among his research findings is the importance of using the transformational leadership's individual consideration and intellectual stimulation. For Odetunde, integrative problem-solving, rather than competitive (win-lose) relationships, equally advance the transformational factor of intellectual stimulation, a quality acquirable through leadership training. One of the benefits of mentoring and the encouragement of employees in finding a solution to an organizational conflict is that it is helpful in reducing anxiety, fear of the unknown, while giving the employees a sense of ownership which would be useful for ensuring employee effectiveness. Since everyone cannot be a leader, it is imperative that the Catholic bishops are aware of the leadership styles that they exhibited in their handling of the child sexual abuse scandal in the church based on the opinions of the Catholic priests.

#### **Child Sexual Abuse**

Reviewing child sexual abuse literature was a difficult task, and it is hard to imagine that such an epidemic even exists. Unfortunately, there is varying research pointing to its existence and nature. Notably:

There are many forms of child sexual abuse, including but not limited to rape, inappropriate relations, sexual exploitation, and much more. In some circumstance, child sexual abuse is a form of child sexual cruelty that entails emotional and physical neglect (National Sexual Violence Resource Center, 2012).

Sexual abuse of a child may entail inappropriate touching and no touching sexual actions with a minor that is inappropriate, together with sexual exploitation of children.

Child sexual abuse is defined as any form of sexual act against children under 18 years old (Wilson & Scarpa, 2015). This sexual act entails forcing, coercing or threatening a child to have any form of sexual contact or to engage in any sexual activity at the perpetrator's direction. Many governments of the world and professional and religious organizations have legislatively provided grievous measures to protect children from child sexual abuse. The United States' Catholic bishops have promulgated a child abuse protection policy known as the Charter for the Protection of Children and Young People (USCCB, 2015b).

Formicola (2016) examined the complex political drama surrounding the clergy sexual abuse. The focus of his study was on understanding the bishops' policy response as individuals, members of the United States bishops' conference, canonically, and as

Vatican representatives in their various dioceses. The importance of his research is such that it provides materials for understanding the politics surrounding the complexity of the bishops' response. Besides, it is important that the church has a way of early detection and prevention of child sexual abuse in the Church. The importance of detecting child sexual abuse at an early stage is that the earlier it is discovered or identified, the less psychological damage it will have on a person. In this study, Formicola advocated for the pastoral care of the offenders as well but did not provide concrete steps for their care.

Pazdera, McWey, Mullis, and Carbonell (2013) examined the connection between maternal parenting indicator risks, child sexual abuse, and maternal depression, together with self-perceived parenting competence relationship influence. The examination research data was extracted from the Parenting Among Women Sexually Abused in Childhood (PAWSAIC) dataset (Pazdera et al., 2013). PAWSAIC data were examined to determine whether maternal depression and high-risk parenting are associable with child sexual abuse. The results of the research were contrary to the hypothesis that mothers with a history of child sexual abuse would have significantly higher levels of parenting stress and maltreatment behaviors than parents without child sexual abuse histories. This discovery is a source of hope for both maternal child sexual abuse survivors and those who treat survivors of child sexual abuse (Pazdera et al., 2013). This research finding is encouraging for mothers with child sexual abuse records, together with clinical interventionists because it provides them new ground to focus on in correcting this erroneous belief system.

Centered in the United States, Faller (2015) used qualitative research methodology interview documents in reviewing and analyzing forty years of forensic interviewing of children suspected of being victims of sexual abuse, from 1974-2014, to determine whether there was an occurrence of child sexual abuse. It is enormously challenging to use forensic interviews as a way of determining whether a child was sexual abused or not. According to Faller, it is also necessary to develop a balance between interview strategies that correctly identify sexually abused children (sensitivity) and correctly exclude children who have not been sexually abused (specificity). Therefore, it is important to note that whenever interviewers fail to use their forensic interview training skills appropriately, the false readings and results will do more harm than good to the child.

"Holy See" perspective on child sexual abuse. The "Holy See" or the "Apostolic See" of the Roman Catholic Church is "the seat of the central administration of the worldwide Catholic Church" (Catechism of the Catholic Church, 2000, p. 882). For Cardinal Lajolo (2008), the term "Holy See" refers to the "Chair of Peter," a sign of the authority given to Simon Peter by Jesus Christ, of whom the Popes drive the source of their Apostolic Succession. The term "Holy See" currently applies to the pontifical see of more than one billion Catholics throughout the whole world. The Holy See is the pontifical see of the Holy Father, the Pope, as the bishop of Rome and the head of the universal Catholic Church. For Cardinal Lajolo, the United Nations recognize the Vatican City as the smallest independent state in the world which enjoys diplomatic relations with most countries of the world as a sovereign state. In other words, understanding the Holy

See's perspective on the child sexual abuse of minors by some members of the Catholic clergy in the United States and around the world is important, since the United States Catholic Church is part of the universal Catholic Church. Some of the literature and materials examined on the Holy See's role in the wake of the clergy child sexual abuse crisis has shown the anger and bitterness of most governments, organizations, the media, and the public. This anger is in response to the way some bishops' conferences, religious superiors, and diocesan bishops handled the clergy sexual abuses in various dioceses of the world and the United States in particular. There is an atmosphere of mistrust hovering around the Catholic Church's leadership to the extent that there are some who question the Holy See's leadership style.

As the highest authority in the Catholic Church, it is also important to examine the Pope's view on the clergy child sexual abuse. During his homily at Domus Sanctae Marthae, while celebrating Mass with a group of people from around the world who had experienced sexual abuse by some members of the Catholic clergy, Pope Francis I, struck a reconciliatory tone, and condemned the clergy child sexual abuse in a strong manner. The reconciliatory tone by Pope Francis I emphasized the need to protect children by creating a safe environment, calling for accountability on all clergy and calling all Catholic bishops around the world to carry out their pastoral duties with regards to this issue. The Holy Father's reconciliatory tone seems to summarize the ways that the Holy See is currently handling the clergy sexual abuse crisis. Some works of literature have questioned the Holy See's leadership role and sovereign immunity and called for proper accountability and responsibility on the Holy See's administration's handling of the

clergy child sexual abuses around the world. Formicola (2016) examined the complex political drama surrounding the clergy sexual abuse and various roles of the Catholic hierarchy in religious, legal, and diplomatic responses. Formicola's opinions seem to lean more on the Church's interest in protecting her autonomy than protecting children from child sexual abuse.

In 2012, Pope Benedict XVI invited various diocesan bishops and other religious superiors to Pontifical Gregorian University in Rome for a symposium to determine ways to heal and renew child sexual abuse victims. The prefect of the Congregation for the Doctrine and Faith who has the responsibility of helping the universal Catholic bishops in dealing with the child sexual abuse crisis through guideline developments did explain the bishop's responsibility. Cardinal Levada (2012) stated:

The important responsibilities of the Diocesan bishop are his task of assuring the common good of the faithful. This work extends to the protection of children and of the young in his duty to give an appropriate response to the cases of sexual abuse of minors by clerics in his diocese. (p. xiii)

The prefect of the Congregation for the Doctrine and Faith went on to emphasize the responsibilities of the bishops and the religious superiors, urging them to use and apply the guidelines appropriately. When explaining the bishops' and superiors' responsibilities, Cardinal Levada states that in case "an accusation seems true, the bishop or major superior, or a delegate, ought to carry out the preliminary investigation in accord with *Codex Iuris Canonici* (CIC) can. 1717, *Codex Canonum Ecclesiarum Orientalium* (CCEO) can. 1468, and SST art. 16" (p. xvi). The stated canonical procedures are a

summary of the guidelines by the Catholic Church in dealing with probable cases of child sexual abuse but did not encourage working with law enforcement officers in various countries. To understand the nature and meaning of SST, Cardinal Levada stated:

On 30th April 2001, Pope John Paul II promulgated the *motu proprio*Sacramentorum sanctitatis (SST). Sexual abuse of minors under eighteen years of age, committed by a cleric, was included in the list of more grave crimes (delicta graviora) reserved to the Congregation for the Doctrine and Faith (Cardinal Levada, 2012, p. XVI).

The Congregation for the Doctrine and Faith in Rome is responsible for the proper adjudication of all credible complaints or cases of child sexual abuse by any member of the clergy. All bishops' conference's child sexual abuse protection guidelines must follow proper procedures laid down by the Congregation for the Doctrine and Faith in Rome.

USCCB policy on child sexual abuse. Canon 449 §1 shows the responsibility of the Pope regarding the establishment of Episcopal Conference of Bishops in every nation of the world. The Pope is the only legitimate authority in the Catholic Church vested with the power to approve the establishment of any Catholic conference of bishops around the world. The Pope is the supreme authority of the universal Catholic Church. The conference of bishops in every nation exercises the spiritual care and promotion of the Church's mission for humanity which is in line with the mission of Jesus Christ. The bishops foster the Catholic Church mission by making use of their ecclesiastical authority in establishing programs to foster the apostolic task of the church for humanity.

The USCCB as part of the universal Catholic Church is still under the Pope as the head of the Church. In 1966, the Catholic Bishops' Conference of the United States established a combined conference with the Bishops National Conference, which is currently known as the Bishops' Conference in the United States, officially coming into being on July 1, 2001 (USCCB, 2015). The newly established USCCB has a purpose in line with the universal law of the church, to foster the mission of Jesus Christ for the good of humanity in the case of the church ministry and apostolate.

The bishops, in 2002, at the peak of the child sexual abuse crisis in the United States, exercised their leadership position under the confines of the Code of Canon law by promulgating the child abuse protection policy. The child abuse protection policy of the USCCB, which is known as the "Charter for the Protection of Children and Young People, was developed by the USCCB's Ad Hoc Committee for Sexual Abuse" (USCCB, 2015b). The developed charter was not only approved by the bishops at their general assembly in 2005, but it also received "recognitio" by the Roman leadership in the following year. The original charter was enacted in 2001 and updated in 2011. It provides guidelines for all Catholic dioceses and Religious communities in the United States and the Virgin Islands on the necessary steps and measures required to prevent clergy sexual abuse of minors. The efforts, on the Catholic bishops' part, to ensure the protection of the faithful in the future was visible in articles 12 – 17 of the charter.

For the future welfare of the faithful, Article 13 encourages the diocesan bishops to make use of appropriate means in screening prospective candidates for ordinations (USCCB, 2015b). The charter contained in detail, the guidelines for all the Catholic

Dioceses in the United States and the Virgin Islands, indicating ways to handle the child sexual abuse crisis. It extols reconciling with those who suffered sexual abuses, assures efficiently addressing allegations of child abuse, ensures church's procedures are accounted for while protecting church members from future abuses (USCCB, 2015b) from clergy sexual abuse. Among other recommendations of the charter towards creating a safe environment for children in the church are using agencies and law enforcement resources to check all employee background. All priests, religious, deacons, and volunteers working in various diocesan ministries need to be background checked (USCCB, 2015b). The practice of evaluating the backgrounds of all church employees and volunteers in the Catholic Church seems to be an ongoing effort.

One of the significant and commendable aspects of USCCB's Charter was that the bishops acknowledged their mistakes and are currently working to heal the church (USCCB, 2015b). The bishops' commendable acts notwithstanding, one of the significant gaps in examining the charter was the lack of recommending measurable and sustainable ways to ensure and maintain a safe environment in the Church. Without external auditors to physically observe and verify the program's implementation efforts in the parishes, anyone could write anything in his or her required and necessary reports. Hopefully, this research will help in creating awareness of the need to continue the efforts in creating a safe environment for all in the Catholic Church, while highlighting the need to find ways to fill the gaps mentioned above in the USCCB's child protection policy.

USCCB'S research efforts on clergy child sexual abuse. The USCCB's website contains detailed reports and studies by the Catholic bishops' in the United States in a

quest to understand the nature, scope, and reason for the clergy sexual abuse of minors. Various studies reviewing literature related to child sexual abuse indicated a myriad of theories ranging from behavioral, psychological, psychodynamics, attachment, iterated, cognitive-behavioral, to abuse by clergy approaches. The theories on abuse by the clergy do not however, reveal the reason for the abuse (Terry & Tallon, 2013). Despite the lack of consensus regarding the grounds for child sexual abuse by some clergy, the controlling position of authority on the part of the clergy, together with its privileges, was among the contributing factors. The literature review determined that the Church also engages in neutralization tactics and did not report or collaborate with civil authority in reporting the child abuses. Importantly, the use of the expression 'the church' as an inclusive term would not only be a fallacy of overgeneralization but would also be too harsh and undermining of the number of the Catholic clergy and religious institutions that are not involved in the child sexual abuse of minors.

Since lawyers were opening doors for victims to come forth and state their cases, the USCCB employed the John Jay College of Criminal Justice to delve into the matter and provide a detailed account of charges against some Catholic clergy and religious members who committed sexually abusive acts against minors. To prepare for the research study, John Jay College of Criminal Justice put together a team of experts from various areas and walks of life (John Jay Report, 2004). Their effort seems very broad regarding the scope and nature of the research. In fact, the John Jay research study which spanned 11 months beginning March 2003 to February 2004, contained information gathered from surveys provided by 195 dioceses, representing 98% of all diocesan priests

in the United States. The report also includes 140 religious communities, representing approximately 60% of religious communities and 80% of all religious priests (John Jay Report, 2004). Great care and detail was taken to preserve and protect the identities of both victims and the accused. Profile analyses of all parties, including the churches and the dioceses, were also considered in the study. The research also included an account of the number of allegations against priests, religious leaders, and deacons within churches of the dioceses as well as information contained in any police reports.

The research and data provided in the 2004 document, contained the nature and scope of the study. Since then, the John Jay College Research Team has revisited that report and conducted yet another research study which was made available to the USCCB in 2006. The 2004 report showed results that were descriptive in nature and addressed only critical information sought by the Church (John Jay College, 2011). Consequently, the research team deemed it necessary to conduct multivariate analysis on topics such as reporting of the abuse and the distribution of offenses, comparison of diocesan and religious priests, church response to the abuse, priests with single and multiple allegations, treatment, and regional differences (John Jay College, 2011). Although the quantitative nature of their research deviated from the qualitative character of the proposed research, it provides a better picture of the scope of the clergy child sexual abuse crisis in the United States.

After the USCCB had enacted and promulgated the Charter for protecting children in 2002, there was a need to employ independent auditors to examine any issue associated with the charter. In 2008, the Gavin Group, Inc. conducted audits to ascertain

diocesan/eparchial compliance with the bishops' Charter for Protection of Children and Young People. These reviews began a three-year auditing cycle; the Gavin Group divided all the dioceses in the United States into three groups. Each year, members of the Gavin audit committee conduct a site visit of the one-third of the dioceses/eparchies, and the remaining two-thirds of the dioceses/eparchies participate in the collection, compilation, and review of their policy compliance data collection requirements. The goal is to have every diocese/eparchy receive at least one full on-site audit every 3 years (USCCB, 2013). The Gavin Group diocesan parish visit is limited to only select parishes.

The Center for Applied Research in the Apostolates' website contains helpful information for understanding the nature and scope of the clergy child sexual allegations. The surveys and questionnaires on the website are designed to survey dioceses and eparchies about credible accusations of abuse and the costs of dealing with these claims. The results will be used to demonstrate progress in implementing the Charter for the Protection of Children and Young People and reducing the incidence of sexual abuse within the Church (USCCB, 2013). While the report itself was shocking, members of the John Jay College are genuinely hoping to better understand the issue to reduce and prevent child abuse in the future (John Jay Report, 2004). The John Jay report provided Catholic bishops in the United States with the means to understand the nature and scope of the clergy abuse crisis and was a helpful tool for knowing how and where to effectively channel their efforts to create a safe environment for children and young people in their dioceses.

### **Summary and Conclusions**

This literature review focused on outlining the literature search strategy by analyzing the related research in the areas of leadership, leadership theory foundation, and transformational leadership. This chapter also examined other leadership styles such as transformational, servant, transactional, and charismatic leadership while outlining their essential characteristics, and the research theoretical framework. The narrowing down of child sexual abuse literature reviews include the perspectives of the "Holy See" on child sexual abuse, the USCCB's policy on child sexual abuse, and the USCCB's research efforts on clergy child sexual abuse in relation to their nature, reason, and scope.

It is important to note that, despite the many kinds of literature reviewed, no one knows the leadership styles exhibited by the bishops in handling the child abuse scandal. The materials do not reveal the cause of the abuse by some clergy (Terry & Tallon, 2013). What the review revealed instead was that some in the church's leadership engaged in neutralization tactics and did not report or collaborate with civil authority in reporting the child abuse. In turn, this gives the pedophiles approval from superiors to continue offending and establishes an environment in which the behavior can persist. The Catholic leadership in the United States through the USCCB had accepted the responsibility for their mistakes and is currently making efforts to protect their members from child sexual abuse, promote reconciliation with victims of child sexual abuse, and create a safe environment for children and young people in the church. An important notation is that almost all reviewed literature did not emphasize the need to use the

position of the clergy as a source of good through educating them on the qualities and application of transformational leadership.

In this study, I sought to add to the existing literature on Catholic clergy child sexual abuse by outlining the leadership styles exhibited by the Catholic bishops in handling the child sexual abuse scandal based on the priests' opinions. It is important for me to create awareness of the need for continuous effort in discovering new ways to create and sustain a safe environment for children and young people in the Catholic Church through a better understanding of the bishops' leadership styles. There is a need to understand the leadership's behavioral patterns exhibited by the Catholic bishops in their effort to affect positive change in the church. Transformational leadership among other leadership styles focuses on the transformation of followers' values and beliefs, to attain the organization's objectives (Tyssen, et al., 2014). In line with exploring the research methodology, the focus in Chapter 3 is to understand the research design, the researcher's role, and the methodology section which include the exploration of the data collection and analysis instrumentation, participant's selection, recruitment, participation, and ethical procedures.

### Chapter 3: Research Method

#### Introduction

In this study, I sought to identify and document the perceptions of a sample of Catholic priests in a midwestern diocese regarding the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church. In the interviews I conducted with priests, I focused on the behavioral characteristics of the four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership. An understanding of which behavioral pattern of the four leadership styles best defines the Catholic bishops' leadership style was useful in filling a research gap in the field. I used a qualitative research approach in collecting and then analyzing research data by interviewing 11 Catholic priests in a midwestern diocese.

Importantly, "transformational leadership focuses on the transformation of followers' values and beliefs to attain the organization's objectives" (Tyssen et al., 2014, p. 378). In line with exploring the research methodology, my focus in this chapter will be to discuss the research design; the researcher's role; and the study methodology. I also discussed data collection and analysis instrumentation; participant selection, recruitment, and participation; and ethical procedures.

### **Research Design and Rationale**

I used a phenomenological, qualitative research design to explore the U.S.

Catholic bishops' leadership styles in handling the child sexual abuse scandal based on the perspectives of the priests. Making use of the qualitative methodology helped me to explore and describe the lived experiences of participants as represented by their words

(see Yin, 2016). Interviews were used to collect the research data as it related to generating opinions concerning experiences based on the participants' responses to the phenomenon under investigation (see Roulston, 2010). I analyzed the data collected from the Catholic priest interviews to determine the U.S. Catholic bishops' leadership styles in handling the child sexual abuse scandal in the Church.

## **Research Question**

The following research question guided this study:

RQ: What leadership styles do Catholic priests think their bishops exhibited in their handling of the child sexual abuse scandal in the church?

#### Role of the Researcher

My role as the researcher in this study was to collect data, and this was facilitated through my use of qualitative interviews with open-ended questions (see Yin, 2016). The use of qualitative interviews to collect research data helped me in understanding the perceptions of U.S. Catholic priests as they related to the research topic. interviewed Catholic priests from a diocese in the midwestern region of the United States. The use of qualitative research interviews allowed to collect data while being objective and open-minded and without coercing the priests, since I did not have supervisory authority over them.

My responsibility as the researcher was to be objective, concise, and clear in communicating the research purpose and details to all the participating priests and making sure to obtain signed informed consent letters from them. I also assured the participants of the confidential nature of the research, which alleviated any confidentiality

issues and allowed the priests to relax while taking part in the research. Explaining the importance and benefit of the research to the Catholic Church in the United States and the Universal Catholic Church also helped in gaining interview participants and their informed consent.

### Methodology

The methodological approach I took in this study was to use the qualitative phenomenology in relating the participants' lived experience together with the meaning they constructed of that experience (see Seidman, 2013). I interviewed 11 priests in a midwestern diocese who had been in the priesthood a minimum of 10 years because in that timeframe, these priests interacted with bishops related to the child sexual abuse scandal. In the interviews, I focused on the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership.

I collected data using interview questionnaires while noting that a qualitative research interview is more than collecting data; it is a way of seeing the world and learning from it (see Rubin & Rubin, 2012). I was able to obtain participants' information from a midwestern diocesan picture directory. I sent the participants an invitation to participate along with the informed consent form (with the interview procedures and assurance of their information confidentiality and how to protect their privacy). Because there are more than 170 dioceses in the United States and it would have been difficult to interview every priest in every diocese, I focused on interviewing priests in just this one midwestern diocese.

#### Instrumentation

For this study, I used interviews to collect research data from Catholic priests working in a midwestern diocese. I gathered data through taking notes and audio recording interviews. After transcribing the audio, I stored the interviews on a flash drive. I ensured that the interview questions were available to the participants before interviewing them via Skype or face-to-face. Making the interview questions available to the participants helped to ensure that the participants were well prepared for the interview. Significantly, a qualitative researcher's emphasis is more in understanding the peculiar makeup of people and organizations (Rubin & Rubin, 2012). I, as the researcher, was the primary and essential data-collecting instrument for this study.

# **Data Collection and Sampling Procedures**

I randomly sampled a purposeful sample of 11 Catholic priests working in a midwestern diocese. Each of the priests were required to have been in the priesthood for a minimum of 10 years to ensure that they had significant interaction with bishops related to the child sexual abuse scandal in the sense that the priests work closely with and answer to the bishop. I used face-to-face and Skype interviews in collecting my research data. By offering participants the choice of face-to-face or Skype interviews, I hoped that they would be more comfortable participating. All interviews were audio taped to provide an opportunity for me to create a transcript of each interview. Most interviews lasted 45 minutes. Within 35 days, I interviewed each participant face-to-face and did not question any participant via Skype. I interviewed participants using the interview protocol outlined in Appendix A of this study. The interview procedures for all participants included:

- Review the invitation to participate and the interview questions, which was
   mailed or e-mailed to them 2 weeks before the interview.
- Accept the invitation to participate in the research.
- Read and understand the informed consent form.
- Sign the consent form giving their consent to be interviewed.
- By giving their consent, agree to be interviewed for 45 to 60 minutes as part of this study.
- Verbally answer four to five sections of interview questions that relate to the research.
- Be interviewed only once while keeping in mind that they are free to exit the interview at any time.
- Provide their e-mail for follow-up questions should the need arise and for member checking.

Sampling. I interviewed 11 Catholic priests in a midwestern diocese for collecting research data as it related to the research topic. The use of qualitative sampling helped in providing the necessary data even in a relatively small sample, selected purposefully since qualitative methods depend on larger samples if selected randomly (see Patton, 2002). Upon examining the various reasons for using qualitative sampling, random sampling was the best option for data collection, rather than purposeful sampling or other sampling approaches. Significantly, the logic and power of random sampling derives from statistical probability theory while purposeful sampling relies more on using information-rich cases (Patton, 2002).

**Sampling types.** Different types of qualitative sampling can be either extreme, deviant case, intensity, maximum variation, or heterogeneity, and cases that are typical, snowball, and much more (Patton, 2002). It could also be theory-based, operational construct, theoretical, confirming and disconfirming, stratified, opportunistic or emergent, purposeful random, sampling politically important cases, and convenience sampling (Patton, 2002). I will be using purposeful sampling in this study.

I chose to use purposeful sampling because a purposeful sampling strategy does not automatically eliminate any possibility for random selection of cases (see Patton, 2002). Therefore, I used a purposeful random sampling selection of various Catholic priests in a midwestern diocese because of the large number of the priests in the country in addition to limited resources at my disposal and time constraints with regards to interviewing all the Catholic priests in the United States. The interviews each had four to five section questions. I explained the 45 minutes interview procedure to the participants before obtaining their consent to take notes and begin the interview. Obtaining a signed informed consent form from the participants and communicating detailed research information and interview procedures were priorities. In situations where a face-to-face meeting was not possible, I used Skype interviews.

### **Population Size**

There are more than 190 Catholic dioceses in the United States and its environs, with a population of over 78 million people. Considering limited resources, fiscal constraints, and limited time together with the same type of services provided by the priests of these dioceses, the researcher plans to collect interview data from 11 Catholic

priests in a midwestern diocese. The interview protocol focused on eliciting the opinions of the Catholic priests related to the U.S Catholic bishops' leadership styles in handling the child sexual abuse scandal in the church. The priests' interviews focused on the behavioral characteristics of the four leadership styles known as transformational leadership, transactional leadership, charismatic leadership, and servant leadership. I have drafted the interview questions in such a way that the priests' answers will determine the bishops' leadership styles in handling the child sexual abuse based on the opinions of the priests.

The choice of the four leadership styles was informed by the transformational leadership style being considered the most useful in organizational change, while transactional leadership is the opposite style of transformational leadership. An important notation is that the randomly sampled collected information will help in providing credibility to the research. Notably, the credibility of systematic and randomly selected data examples is considerably greater than the personal, ad hoc selection of data selected and reported after outcomes are known (Patton, 2002). Therefore, the use of the purposeful random sampling is to establish research credibility.

### **Participant Recruitment**

This phenomenological research entails the use of purposeful random sampling to select 11 Catholic priests in a midwestern diocese entrusted with the responsibility of implementing the safe environment programs in their parishes. The use of purposeful sampling best fits this research since for many audiences, random sampling, even of small samples, will substantially increase the credibility of the results (Patton, 2002). A

participant has to be a Catholic priest working in a midwestern region of the United States, and in good standing with the Church, and thus is in communion with his bishop. I have the bishop's permission to do this research in a midwestern diocese. I plan to contact the priests via e-mail, letters, or phone calls, explaining in detail the research purpose and goal, together with the interview procedures, before sending informed consent forms to be signed by the priests who are willing to participate. Maintaining the confidentiality of the collected data using a password-protected, locked safe will be explained to the participants.

### **Data Analysis Plan**

A qualitative interview study begins with decisions regarding the sample to be interviewed, followed by data collection, and concludes with data analysis (Weiss, 1994). I will employ NVivo qualitative data analysis software in the study which I will use as a management tool. The first step in analyzing data is preparing a transcribed word-forword interview with a complete and accurate version of the interview responses (Rubin & Rubin, 2012). Despite the use of NVivo qualitative research software, it is important to highlight that as a researcher, I am the primary instrument for collecting and analyzing the research data.

I plan on reading and rereading the collected data to fully understand and gain insight into how to organize them into themes and patterns. A starting point for this analysis will follow the preliminary coding framework (see Table 1).

Table 1

Preliminary Coding Framework

Theory	Primary Code	Secondary Code	Interview Question
Transformational Leadership	Individual Consideration Intellectual stimulation Inspirational motivation Idealized influence	Vision  Motivation  Inspiration	In what ways do you perceive that the bishops display or did not display effective leadership vision and skills in handling the child sexual abuse crisis?
Transactional Leadership	Team work/clear goals Rewards, promises, Incentive	Meeting follower's self- interest Motivational strategies	What incentive and motivational strategies do you perceive that the bishops exhibited or did not exhibit in their handling of the child sexual abuse scandal in the church?
Charismatic Leadership	Charisma to convince, Desire for change Removing obstructions to change Self-sacrifice Risk taking Protecting organizational identity.	The power, eloquence, and charm to convince other to buy into a vision	In what ways do you think that the bishops' exhibit or did not exhibit compelling leadership actions in handling of the child sexual abuse scandal?
Servant Leadership	Listening Empathy Stewardship Service Awareness	Commitment to growing the people and the community	In what ways do you think that the bishops exhibit/exhibited or do/did not exhibit the ability to put others first in handling of their child sexual abuse scandal?

I used NVivo qualitative research software to help in managing the collected data and organizing them into essential themes and patterns (Casey, Eime, Payne, & Harvey,

2009). I did not experience any discrepancy of information but triangulated and used member checking as a way of addressing any discrepancy.

#### **Issues of Trustworthiness**

With regards to trustworthiness, "the test of any display is what it helps you understand and how trustworthy that understanding is" (Miles, Huberman, & Saldaña, 2014, p. 117). Since any research studies in a social setting can have substantial effects on people's lives, the researcher needs to be trustworthy in rendering the accounts of the study. Therefore, to ensure trustworthiness, quality, transferability, credibility, dependability, and the conformability of this research, I used member checking as a way of confirming the accuracy of the collected data.

## Credibility

The credibility of research is essential in determining its validity. As a way of ensuring the validity and credibility of the research, I engaged in member checking, data triangulation, and use of a high-quality tape recorder. Triangulation is "the use of several different research methods to test the same finding" (Babbie, 2014, p. 121). Using member checking helped to confirm the accuracy of the collected data. After collecting and transcribing the interviews, I e-mailed the participants to confirm the accuracy of the collected data. In addition, as the primary instrument of this research, I triangulated the primary data by combining manual coding and NVivo qualitative software coding. Triangulating the collected data ensures the robustness of conclusions regarding the research topic.

# **Transferability**

I gathered detailed, thick descriptions of the participants' perceptions of bishops' leadership styles when handling child sexual abuse scandals to ensure transferability of the data. A detailed description of the organizational context includes the setting and tone of the meeting (i.e., whether it was tense, mild, with or without laughter, or relaxed; Rubin & Rubin, 2012). Rubin and Rubin (2012) explained that thick description requires the researcher explain the phenomenon in a detailed and sufficient manner so other researchers can replicate it in a similar setting. This research was a resourceful material for other researchers who want to examine similar phenomena. The interview questions made it possible for me to obtain thick descriptive information from the research data. The transferability for similar research is such that obtaining thick descriptive information helped in exhausting the details of the phenomenon and providing adequate information for any research.

# **Dependability**

To ensure dependability, I purposively selected the participants in a random manner protecting their confidentiality through numerical numbering of the interviews without using their names. The use of a purposive sampling strategy did not automatically eliminate any possibility for random selection of participants or cases (Patton, 2002). Using purposive sampling, I randomly selected the interview participants. After transcription and manual coding, I used NVivo software coding to reduce the data to patterns that reflected various leadership styles and behavioral characteristics in line with the research topic. I engaged in an inquiry audit by discussing the data with my

peers without breaking participants' confidentiality. Using purposive sampling ensured dependability since the participants have experience and expertise in the field of this research.

# Confirmability

To ensure confirmability, I uploaded the transcribed interview data into NVivo qualitative software to code and reduce data to patterns and themes. I engaged in meticulous data management, used a quality recorder in capturing the interview data, and took notes while maintaining accurate records of participants' interviews. I provided a verbatim transcription of the interviews, reduced and narrowed data into patterns and themes. I ensured that the research findings confirmed with the research questions and topic since it helped in determining the predominant leadership styles of the US Catholic bishops when handling the child sexual abuse scandal based on the opinions of the priests who report to them.

#### **Ethical Procedures**

There are ethical standards attached to qualitative research methods which I carefully implemented. Since research integrity carries significance in qualitative research, it is important to demonstrate that I went to great lengths to conduct the research accurately (Yin, 2016). As a priest ordained for a midwestern diocese of the United States, I obtained participants information from a diocesan picture directory. I also acquired a Letter of Cooperation containing the bishop's permission to conduct the research within the midwestern diocese. I sent the participants an invitation to participate together with the informed consent form with the interview procedures and assurance of

their information confidentiality and how to protect their privacy. I ensured to apply what I learned prior to obtaining the Human Subject Protection certificate. Ethically, I had the responsibility to apprise the participants of the nature of the research and all that it entailed before having them sign a consent form. I did not allow personal bias to influence or coerce my thoughts or behaviors when drawing research conclusions.

Therefore, I did not select participants based on personal relationships through work, church, or employment, to give credence to a more reliable outcome. I guaranteed the participants' anonymity and protected their identity by tightly securing all collected research data. The collected research data were protected via lock, password, and code, and stored in a safe place, accessible only to me. Notably, in accordance with federal, state and local law together with my role as a Catholic priest, and the limits of my conscience, I am mandated to report any information related to an abuse of minor/elderly or criminal activity.

Besides, when selecting interviewees for the study, I informed the participants of the nature of the study in addition to the methods and procedures involved in obtaining research data. It is important that the participants signed consent forms before the interview. When engaging participants in the studies, careful and due consideration was implemented. In this study, the research participants were limited only to Catholic priests working in a midwestern region of the United States. Importantly, Walden University Institutional Review Board's (IRB) approval number for this study is 08-04-17-0381433 and it expires on August 3<sup>rd</sup>, 2018. I gave participants a copy of the consent form to keep.

The study validity and authenticity would have been compromised if I tried in any way to influence the priests' responses. Notably, the participants may not have progressed to a conscious determination to participate at the start of the subject area but gave their consent to participate when well informed (Josselson, 2013). I was ethically bound to avoid selecting interviewees based on personal relationships to prevent any suspicion or accusations of tampering with the research data and results, which could ultimately discredit all efforts. It would have been unethical to allow personal bias to influence the research in any way; therefore, I was objective in the research process. Notably, there was no repercussion for failing to participate since participants were free to exit the interview at any time.

### Summary

Qualitative studies tend to generalize their findings and seek transferability to other situations based on analytic claims (Yin, 2016). In Chapter 3, I documented the research purpose, examined the rationale for the study design, described the role of the researcher, and explained in detail the research methodology as it related to participant recruitment, instruments, data collection, and data analysis procedures. Furthermore, research trustworthiness issues as they relate to research credibility, transferability, dependability, and conformability, together with the ethical procedures were explored.

In this research, I collected data related to the research questions while keeping in line with the research topic. The study purpose was to use the opinions of Catholic priests to analyze the U.S Catholic bishop's leadership styles in handling the child sexual abuse scandal in the church. The priests' interviews focused on using the behavioral

characteristics of four leadership styles including transformational leadership, transactional leadership, charismatic leadership, and servant leadership. The primary focus was the Catholic priests in a midwestern region of the United States. I obtained a letter of cooperation from the bishop to ease the tension and difficulty of obtaining interviews from any of the priests. The bishop's letter provided permission to conduct research within the midwestern diocese.

Once I had the IRB approval to proceed with the research data collection, research participation requests were sent to the prospective participants. I interviewed Catholic priests who agreed to participate in the study. I was responsible for assuring anonymity and protecting confidential information once the interview was completed (Josselson, 2013). Communicating this important step to the participants helped in easing tensions and eradicating any concerns the participants may have had including protecting the participants' information during data collection. In Chapter 4, I presented the study's setting, participant demographics, data collection, and evidence of the research trustworthiness while documenting the results.

### Chapter 4: Results

#### Introduction

The goal of this qualitative phenomenological study was to identify and document the perceptions of Catholic priests regarding Catholic bishops' leadership styles when handling child sexual abuse scandals in the Church. Interviews with 11 Catholic priests living and working in a midwestern diocese revealed their perceptions of the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership. I assigned the participants numbers from P.1 to P.11 as a way of maintaining their confidentiality. The research topic emerged due to the continuous need to create awareness of child sexual abuse in the Catholic Church and to assess the leadership efforts exhibited by Catholic bishops in addressing this issue. There was one primary research question for this study: What leadership styles do Catholic priests think the bishops exhibit in their handling of the child sexual abuse scandal in the church? In this chapter, I will present the study's setting, participant demographics, data collection, and evidence of the research trustworthiness while documenting the results.

### Setting

This study took place in a midwestern diocese, and the participants included Catholic priests working in the priesthood for at least 10 years. I completed face-to-face interviews with the participants using interview questions that they reviewed in advance. There were no known organizational or personal conditions that influenced participants' perceptions or interpretations of the collected data. I conducted the interviews in a

relaxed atmosphere (i.e., homes, convocation venue, or offices of the participants). I transcribed all the collected interview data on my home computer. I live alone, and the atmosphere was conducive to listening to the audio-taped interviews repeatedly for transcription. I studied the data to understand participants' perceptions prior to organizing them into patterns and themes.

# **Demographics**

The study participants worked at various geographical locations in the midwestern Catholic diocese. I kept the age of participants confidential to protect their identities.

Their years in priesthood ranged from 20 to 40 or more years. All participants were male because only males may serve as Catholic priests. Table 2 shows demographics including years in priesthood, gender, and ethnicity.

Table 2

Participant Demographic Information

Participant	Years in Priesthood	Gender	Ethnicity	Job Position
Participant #1	20–25	Male	Caucasian	Pastor
Participant #2	25+	Male	Caucasian	Pastor
Participant #3	25+	Male	Other	Other
Participant #4	25+	Male	Caucasian	Pastor
Participant #5	25+	Male	Caucasian	Pastor
Participant #6	25+	Male	Caucasian	Pastor
Participant #7	25+	Male	Caucasian	Pastor
Participant #8	20–25	Male	Caucasian	Pastor
Participant #9	25+	Male	Caucasian	Pastor
Participant #10	15–20	Male	Other	Other
Participant #11	25+	Male	Caucasian	Pastor

## **Data Collection**

The Walden IRB committee approved my proposal (Approval No. 08-04-17-0381433) on August 4, 2017. Immediately after approval, I began the data collection process following the details of the approval. I followed every detail of my IRB application throughout the data collection process, including for inviting participants and obtaining informed consent, while trying as much as possible to be "acquainted with the fieldwork process" (Yin, 2016, p. 116). My plan was to interview 11 Catholic priests in a

midwestern diocese; therefore, I started the purposeful sampling by perusing the diocesan directory to obtain potential participants' contact information.

I sent potential participants an invitation to participate letter and informed consent forms that detailed the interview procedures and provided assurance of their confidentiality and privacy throughout the research process. The participants' invitation letter contained a self-introduction, explanation of the research topic, invitation to participate, estimate of an interview duration of 45 to 60 minutes, and confidentiality assurance. The informed consent form contained the interview protocol and options for participants to consent to participate in the study.

The drive to my primary data collection location was long, and I met with the participants only once each at agreed times and locations (e.g., homes, offices, and the diocesan convocation location). I met one participant at the diocesan convocation location and all others at their homes and offices. I sent invitation letters to 32 candidates, but only 13 candidates agreed to participate and signed the informed consent form. Of the 13 candidates who agreed to participate, only 11 participants met the informed consent requirements according to the IRB application stipulations.

After receiving participant approval, I audio-taped, transcribed, and saved interviews in a numbered format to protect participants' identities. I e-mailed the transcribed interview data to all but two participants to verify the accuracy of the collected data. I did not member check those two participants because they gave me typed out answers to the interview questions during their face-to-face interviews, so there was no need to member check their responses since they did not waiver from their typed

response in our face-to-face interview. I also e-mailed the transcribed interviews data to my dissertation supervisory committee for feedback. My committee suggested I look for patterns and themes in the transcribed data.

A surprising observation I made during recruitment was that younger priests with between 10 to 20 years in the priesthood seemed reluctant to participate or be on record. I observed that most non-American priests were afraid to participate. After discussing this with some of them, I observed that they were afraid of the church's hierarchy. Some said they did not have enough confidence and experience to discuss the topic and so were excluded from the interview.

### **Data Analysis**

Qualitative researchers usually use an inductive approach but can employ a deductive approach under certain circumstances because "without some initial concepts or theories, you might spend an unending amount of time not knowing what you are looking for but waiting for behavioral pattern and concepts to emerge" (Yin, 2016, p. 101). I employed NVivo qualitative data analysis software as a management tool in this study. The data analysis process for this study involved various steps. The first step in analyzing data was preparing a word-for-word transcription of complete and accurate interview responses (Rubin & Rubin, 2012). Despite the use of NVivo qualitative research software, it is important to highlight that as the researcher, I was the primary instrument for collecting and analyzing the research data.

I assigned the participants numbers ranging from P.1 to P.11 as a way of maintaining their confidentiality. As the primary instrument for data analysis, I tried to

replicate verbatim what I audio taped during the interview process while methodically gathering and organizing data into a database form (see Yin, 2016). Despite using more than one device to ensure dependable recording of the primary data, I carefully listened to recorded data to ensure the accuracy of the transcribed materials. Notably, "the key process in the analysis of qualitative social research data is coding which involves classifying or categorizing individual pieces of data" (Babbie, 2014, p. 409). I read and re-read the collected data to gain insight into how to organize responses into patterns and themes. Using the behavioral characteristics of the four leadership styles of servant, charismatic, transaction, and transformational leadership, I tried to create patterns and theme in line with the research topic.

In addition to manual coding, I uploaded the transcribed interview data into the NVivo 11 qualitative analysis software. Importantly, "the process of coding and reorganizing codes into categories is a creative one in which the analyst plays with the data and tries out different ways of think about the data might be understood" (Roulston, 2010, p. 153). Using NVivo, I ran a word frequency query on all uploaded interview data to determine the strengths and weakness of the common words. Figure 2 is the result of the word frequency query in NVIVO for all my interviews.



Figure 2. NVIVO word frequency query result.

The NVIVO word frequency query result is quite broad and did not align with the research topic or research question. Using the result of the NVIVO word frequency query along with manual coding, I created codes that fit into patterns and themes of the behavioral characteristics of the four leadership styles. I then used the software to categorize the substantial data relating to the research question while aligning it with the preliminary coding framework draft (see Figure 2).

After uploading the transcribed data into NVivo 11, I used the preliminary coding framework draft (see Figure 2) as a guide to organize data according to themes (the four leadership styles) and patterns (behavioral characteristics of the four leadership styles) (see Yin, 2016). In this study, I relied on the perceptions of Catholic priests to determine the leadership styles exhibited by the bishops handling of child sexual abuse scandals. The interview questions aligned with this research purpose; so, it was easy for me to determine the themes and patterns within various leadership style categories.

Using the preliminary coding framework draft (see Figure 2) to create nodes and sub-nodes in NVivo 11, I started coding the interview data. I reviewed every line of every interview and highlighted codes that applied to the four leadership behavioral characteristics. Using the NVivo 11, I highlighted relevant sentences and phrases in the participants' descriptions pertaining to the behavioral characteristics of the four leadership styles. Table 3 contains the frequency table for all the coded data.

Table 3

Data Coding Frequency

Servant	Charismatic Leadership	Transformational	Transactional
Leadership	_	Leadership	Leadership
Listening	Charisma to convince	Individual	Team work
(f = 5)	(f=0)	consideration $(f = 7)$	(f = 6)
Empathy	Desire for change $(f = 5)$	Intellectual stimulation	Clear goal
(f = 1)		(f = 9)	(f = 7)
Awareness	Removing Obstacle to	Inspirational	Incentive
(f = 3)	change $(f = 4)$	motivation $(f = 3)$	(f = 1)
Service	Self-sacrifice $(f = 4)$	Idealized influence	
(f = 7)		(f = 1)	
Stewardship	Risk-taking $(f = 2)$		
(f = 6)			
	Protect organizational		_
	identity $(f = 5)$		
Total:	(f = 20)	(f = 20)	(f = 14)
(f = 22)			

The leadership styles of servant leadership, charismatic leadership, transactional leadership, and the transformational leadership were the primary codes that I used as themes. I used the characteristics of the four leadership styles as listed on the preliminary coding framework (see Figure 2) as the secondary codes (pattern). Since I already had existing themes and patterns, there was no need to create a new one. An example of

specific patterns from the coding of the first interview question included forced to listen, more interested in protecting the church, bishops' paid remunerations to victims, legal leadership approach, and psychological leadership approach. These patterns extend existing literature on behavioral characteristics of Catholic bishops in the context of servant leadership. Even if they felt forced to put others first, bishops exercised servant leadership as the most predominant leadership styles when handling the child sexual abuse scandal.

### **Evidence of Trustworthiness**

The purpose of this research was to identify and document the perceptions of Catholic priests in analyzing the leadership styles of Catholic bishops in the United States while dealing with the aftermath of the child sexual abuse scandal. The priests' interviews focused on using the behavioral characteristics of four leadership styles: transformational leadership, transactional leadership, charismatic leadership, and servant leadership. I ensured trustworthiness in collecting, transcribing, and analyzing data. I attempted to render research results without bias. To ensure the trustworthiness and the validity of this research, I used the following techniques to confirm credibility, dependability, transferability, and confirmability.

### Credibility

The credibility of research is essential in determining its validity. As a way of ensuring the validity and credibility of the research, I engaged in member checking, data triangulation, and use of a high-quality tape recorder. Triangulation is "the use of several different research methods to test the same finding" (Babbie, 2014, p. 121). I used

member checking to confirm the accuracy of the collected data. After collecting and transcribing the interviews, I emailed the participants to confirm the accuracy of the data excerpt two participants that gave me a typed-out response during my face-to-face interview with them. In addition, as the primary instrument of this research, I triangulated the primary data by combining manual coding and NVivo qualitative software coding. Triangulating the collected data ensures the robustness of conclusions regarding the research topic. Using a quality tape recorder and taking notes while collecting data helped me ensure that I captured detailed information during the interview process.

## **Transferability**

I gathered detailed, thick descriptions of the participants' perceptions of bishops' leadership styles when handling child sexual abuse scandals to ensure transferability of the data. A detailed description of the organizational context includes the setting and tone of the meeting (i.e., whether it was tense, mild, with or without laughter, or relaxed; Rubin & Rubin, 2012). Rubin and Rubin (2012) explained that thick description requires the researcher explain the phenomenon in a detailed and sufficient manner so other researchers can replicate it in a similar setting. This research is resource material for other researchers who want to examine similar phenomena. The interview questions made it possible for me to obtain thick descriptive information from the research data. The transferability for similar research is such that obtaining thick descriptive information would be helpful in exhausting the details of the phenomenon and providing adequate information for any research.

# **Dependability**

To ensure dependability, I purposively selected the participants in a random manner and protected their confidentiality through numerical numbering of the interviews without using their names. The use of a purposive sampling strategy does not automatically eliminate any possibility for random selection of participants or cases (Patton, 2002). Using purposive sampling, I randomly selected the participants. After transcription and manual coding, I used NVivo software coding to reduce the data to patterns that reflected various leadership styles and behavioral characteristics in line with the research topic. I engaged in an inquiry audit by discussing the data with my peers without breaking participants' confidentiality. Using purposive sampling ensure dependability since the participants have experience and expertise in the field of this research.

# **Confirmability**

To ensure confirmability, I uploaded the transcribed interview data into NVivo 11 qualitative software to code and reduce data to patterns and themes. I engaged in meticulous data management, used a quality recorder in recording the interview data, and took notes while maintaining accurate records of participants' interviews. I provided a verbatim transcription of the interviews, reduced and narrowed patterns into themes, and separating data into categories in line with the research topic. The research findings confirmed with the research questions and topic since it determined the predominance of the servant leadership in the US Catholic bishops handling of the child sexual abuse scandal.

#### **Results**

# **Research Question**

The research question guiding this study was: What leadership styles do Catholic priests think the bishops exhibited in their handling of the child sexual abuse scandal in the church? The result of examining participants' collected interview data was that Catholic priests perceive bishops as using servant leadership when handling child sexual abuse scandals in the church. I used a deductive approach to create codes within the patterns and themes of the behavioral characteristics of the four leadership styles: servant, charismatic, transactional, and transformational leadership. I assigned participants' perceptions to various leadership styles based on the behavioral characteristics they mentioned while answering the interview questions. Most participants' perceptions were very critical of bishops' leadership techniques when handling child sexual abuse scandals. Details of participants' views that informed the coding patterns and category choices appear in the following sections.

# **Servant Leadership**

The first interview question addressed servant leadership and had three sub questions regarding whether bishops listened receptively to victims and the accused, were aware of traumatic experiences, and attempted healing while being responsible and committed in formatting a future prevention plan. The result of data coding frequency shows five participants expressed that the bishops did listen to complaints on issues of child sexual abuse. Seven participants revealed that the bishops exhibited service. six

participants expressed that the bishops expressed good stewardship while three participants showed that the bishops displayed awareness.

Participant 1 (P.1) shared that, "when there were so many voices to be heard and there were organizations that were forming, like SNAP and I think they began listening only when they were forced to."

One of the participant's perceptions of whether bishops listened was that the "victims were listened to in an open way for the most part. And, the accused are given their opportunity to respond to the accusations" (P.11). "I think in the wake of the 2002 scandals, a number of bishops did do what I thought was a courageous thing and meet face to face and listened" (P.8). Again, "I think that when it was made aware to them, this was about 2000. I think they did listened" (P.9). These responses provided insights into participants' perceptions of whether bishops listened receptively to the accused and the victims of the child sexual abuse scandal.

Three participants portrayed bishops' awareness of the pain of victims and/or the accused. Bishops provided services to the victims most often and sometimes to the accused and showed good stewardship. "There was some remuneration to each of the victims/families and the offer I believe was made to them for ongoing therapy" (P.5). Another participant's perception was that, the bishops "did the best they could under the circumstances given the advice that they had at the time from the experts" (P.6). Bishops "were definitely responsible" in handling the child sexual abuse scandal (P.9).

The leadership styles of servant leadership, charismatic leadership, transactional leadership, and the transformational leadership were the primary codes that I used as

themes. I used the characteristics of the four leadership styles as listed on the preliminary coding framework (Figure 2) as the secondary codes (pattern). Since I already have existing themes and patterns, there was no need creating a new one. The participants were assigned numbers (from P.I to P.11) as a way of maintaining their confidentiality. Figure 4 shows results of participants' perceptions as coding references in the servant leadership style.

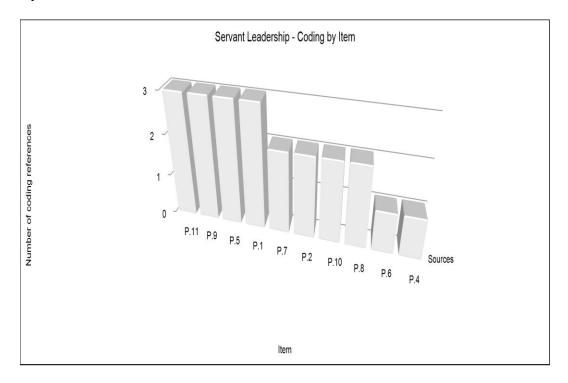


Figure 3. Servant leadership participants' coding results.

Figure 3 shows the number of coding reference in NVivo 11 of the participants' perceptions related to the servant leadership style (f = 22). The perceptions of P.11, P.9, P.5, and P.1 portray answers in affirmation of the three interview questions on servant leadership styles. P.7, P.2, P.10, and P.8 answered in affirmation of 2 of the 3 questions on patterning to the behavioral characteristics of servant leadership. P.6 and P.4 answered

in affirmation of one of the three questions on patterning to the behavioral characteristics of servant leadership. P.3 did not report that bishops exercised any behavioral characteristics of servant leadership. Servant leadership (22 references) has the highest number of references of all the leadership styles in this research.

# **Charismatic Leadership**

The second interview question had three sub-questions regarding whether bishops allow exposure of sexual offenses and offenders to create vigilance and prevent further abuses against children. Did the bishops' compromise Catholic identity, exhibit interest in the future, and seem willing to sacrifice themselves to bring about healing? There were varying perceptions from the participants. P.1 stated, "I think there was a bit of exposure and a bit of action of trying to surface the names of those who were convicted and rightly so." P.11 suggested that "the bishops are public now with the offenses and what the responses are to the abuses. This does, hopefully, deter future abuses." P.3 responded to the question differently. The bishops "were trying to rev up the troops and protect the church, almost at the expense of the victims" (P.3).

P.2 said, "I really do think they compromised pastoral care and that was to protect Catholic identity." P.2 believed bishops did not compromise Catholic identity but compromised pastoral care in the process of trying to protect the church from scandal. P.3 did not think that the bishops compromised Catholic identity and interest. P.4 suggested that protecting children fits with Catholic identity and does not think bishops compromised Catholic identity in their handling of the child sexual abuse scandal.

The result of data coding frequency shows five participants revealed that the bishops exhibited the desire for change in handling the child abuse scandal. Four participants expressed that the bishops exhibited the charismatic leadership characteristics of removing obstacles to change. Four participants revealed that the bishops sacrificed themselves in handling the child abuse scandal. Two participants expressed that the bishops exhibited the charismatic leadership characteristic of risk-taking in managing the child abuse scandal while five participants showed that the bishops protected the church's identity. None of the participants expressed that the bishops' exhibited the charismatic leadership characteristics of having the charisma to convince.

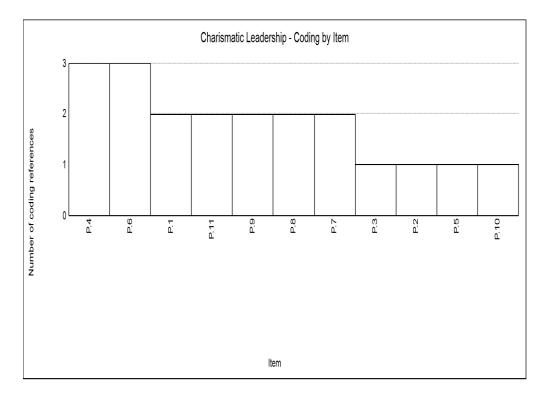


Figure 4. Charismatic leadership participants' coding results.

Figure 4 shows the number of coding reference in NVivo 11 of the participants' perceptions related to charismatic leadership (f = 20). P.4 and P.6 perceived that bishops exercised all three interview questions (Appendix A) relating to behavioral characteristics of charismatic leadership. P.1, P.11, P.9, P.8, and P.7 reported that bishops exercised two of the three interview questions (Appendix F) relating to behavioral characteristics of charismatic leadership. P.3, P.2, P.5, and P.10's perceptions shows bishops only exercising one behavioral characteristic of charismatic leadership. All participants believed the bishops exercised at least one behavioral characteristic of charismatic leadership.

# **Transactional Leadership**

The third interview question had three sub-questions regarding whether bishops worked together with the clergy, religious, and laity to create and implement child abuse prevention policies, reward systems for parishes in compliance with the policy, and support for Catholic parents' efforts to protect their children from child sexual abuse. The result of data coding frequency shows six participants expressed that the bishops exhibited teamwork in handling the child abuse scandal. Seven participants revealed that the bishops exhibited clear goal in managing the child abuse scandal. Only one participant expressed that the bishops used incentive and rewarded compliant parishes and the protecting God's children/virtus program facilitators. P.10's perception was that bishops collaborated with the faithful since "it's the faithful who have committed themselves in teaching those programs. So, I'm sure they are working together with the faithful to make sure that the church redeems its image." Another participant stated that, "maybe on the diocesan level the bishops' are working together with people who are psychologists and social workers and that's probably good and even on the national level, that's probably great" (P.2).

There are varying perceptions of whether bishops implemented a rewards system for the parishes in their dioceses based on compliance with the child abuse prevention policy. Bishops may not understand the need for a reward system since as Christians "we believe that out reward is in heaven" (P.10). Most participants' perceptions were that bishops supported the Catholic church members' efforts to protect their children from sexual abuse. "I think the bishops' response that was given, the mandate of terms, having

Protecting God's Children' in place was an attempt to help the parents protect their children" (P.4). P.11, P.3, and P.10 suggested that the bishops and the entire church supported Catholic parents' efforts through numerous initiatives. Figure 6 shows relevant statistic results of the participants' perceptions via numbered coding of references to transactional leadership.

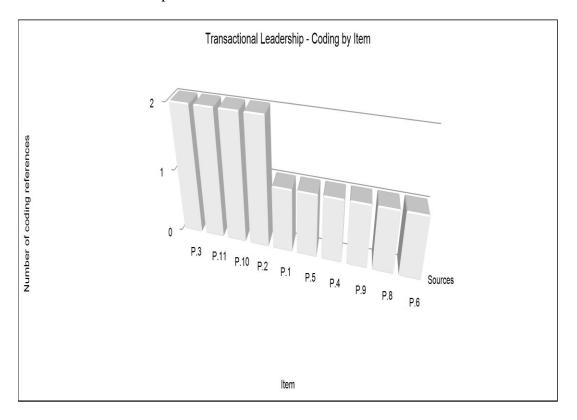


Figure 5. Transactional leadership participants' coding results.

Figure 5 shows the number of coding reference in NVivo 11 of the participants' perceptions related to charismatic leadership (f = 14). P.3, P.11, P.10, and P.2 portrayed that bishops exercised two of the three interview questions (Appendix A) relating to behavioral characteristics of transactional leadership in their handling of the child sexual abuse scandal in the church. P.4, P.5, P.8, P.6, P.9, and P. 1 answered in affirmation with

only one example of behavioral characteristics of transactional leadership style. P.7 did not perceive that bishops exercised any behavioral characteristics of transactional leadership. The total references for all participants under transactional leadership behavioral characteristics was 14.

## **Transformational Leadership**

The fourth interview question had three sub-questions regarding whether bishops paid adequate attention to the needs of all parties in the child abuse scandal, organized programs to educate their members, and genuinely encouraged the faithful to buy into their prevention policy via inspirational and intellectually stimulating motivational techniques. The result of data coding frequency shows seven participants expressed that the bishops exhibited intellectual consideration in handling the child abuse scandal. Nine participants revealed that the bishops exhibited the transformational leadership characteristic of intellectual stimulation and three participants expressed that the bishops showed inspirational motivation. Only one participant expressed that the bishops exhibited the transformational leadership characteristic of idealized influence in handling the child abuse scandal. P.1's perception was that, "for those who have been justly accused, there has been due diligence listening."

I'm sure they paid attention to all of them. I'm presupposing that some of the priests might be questioned about what they do and 2) there were interactions between the bishop and the victim, some of them requested for settlements. I'm sure there was some dialogue. (P.10)

Most of the participants' perceptions focused on the bishops' adequate attention for the victims, not the accused. P.11 stated,

The PGC program has been very successful in the area of education on the child abuse sex scandal. Those taking the program learn the signs of an abuser, what they can do to alert the proper authorities if they suspect abuse, etc.

Most participants believed bishops organized a program to protect God's children to educate their members to recognize, report, and prevent child sexual abuse. P.5's varying perception was that, "PGC (Protecting God's Children) seems like a good program, but I am not sure that it has prevented any more abuse." "Anybody that volunteers with kids has to do that and I agree that's what needs to be done because it's more educational more than it is anything" (P.9). This opinion is a sharp deviation from other participants' views. Due to the nature and severity of child abuse, bishops mandated PGC programs for anyone who wants to volunteer in any parish or diocesan activities within the United States. Figure 6 shows the relevant statistical results of the participants' perceptions via numbered coding of references to transformational leadership.

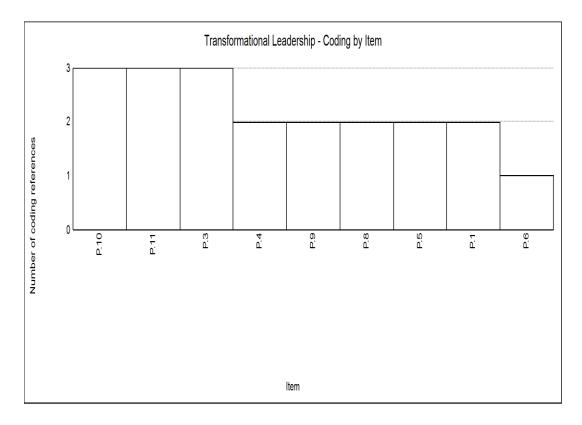


Figure 6. Transformational leadership relevant to participants' statistical results.

Figure 6 shows the number of coding reference in NVivo 11 of the participants' perceptions related to transformational leadership (f = 20). P.10, P.11, and P.3 answered in affirmation to all three interview questions (Appendix A) relating to behavioral characteristics of transformational leadership in their handling of the child sexual abuse scandal in the church. P.4, P.9, P.8, P.5, and P.1 perceived that bishops only exercised only two of the three interview questions (Appendix A) relating to behavioral characteristics of transformational leadership in their handling of the child sexual abuse scandal in the church. P.6 was the only participant with the perception that bishops exercised only one behavioral characteristic of transformational leadership in their handling of the child sexual abuse scandal in the church. P.3, P.7, and P.11 did not

believe bishops exercised any behavioral characteristics of transformational leadership. The Catholic priests' perceptions of bishops' handing of the child sexual abuse scandal shows servant leadership (22 references) was the dominant leadership style of Catholic bishops. Table 4 summarizes the coding references and shows the result.

Table 4

Leadership Style Coding References

Leadership Styles	Number of Participants Referenced	Total Number of References
Servant leadership	10	22
Charismatic leadership	11	20
Transactional leadership	10	14
Transformational leadership	9	20

Table 4 shows that, the priests reported combinations of various leadership styles of servant, charismatic, transactional, and transformational leadership. Servant leadership had 22 references. Charismatic and transformational leadership had 20 references each, and transactional leadership had 14 references. Servant leadership had the highest number of coding references in Catholic priests' perceptions of the research topic. The dominant leadership style of Catholic bishops is servant leadership, according to priests. Most participants were very critical of bishops' leadership in handling the child sexual abuse scandal. Table 4 shows an overview of the manual coding of data for this research.

## **Nonconforming Data**

Most participants' perceptions were very critical of bishops' leadership when handling the child sexual abuse scandal. This research only includes codes related to behavioral patterns of the four leadership styles. There was additional interview data with valid points, but the data was nonconforming because participants shifted the discussion to his own leadership rather than bishops' leadership.

Now, thinking as a pastor, I don't know that I've ever said 'thank you' to people who have attended the workshops. My gut hunch is that in typical American fashion, when there is a law, we don't reward people for obeying the law.

However, this might be a case where we might want to step away from the law and regulation mentality and remember that we want people to be a part of this and be a part of the solution. (P.8)

The participant made a point regarding the need to express gratitude to the PGC workshop attendees. However, he was talking about himself, which makes this data nonconforming to research on bishops' leadership styles.

### Summary

Qualitative researchers document a detailed report of their experience to recreate a phenomenon (Roulston, 2010). In Chapter 4, I discussed the research setting, sample demographics, data collection process, and analysis of the findings. I discussed the evidence of trustworthiness of this research according to its credibility, transferability, dependability, and confirmability. I gathered details to address the research question by using NVivo 11 to code the collected interview data into themes and patterns.

An examination of these themes and patters as they relate to the research question confirmed servant leadership as the dominant leadership style of bishops handling the child sexual abuse scandal, according to Catholic priests' perceptions. The priests reported combinations of various leadership styles of servant, charismatic, transactional, and transformational leadership. Despite most of the participants being critical of bishops' leadership when handling the child sexual abuse scandal, the priests commended bishops for their efforts to protect the church, provide PGC programs, and listen to the victims and their families. Most participants' perceived bishops shifted blame to priests and not the bishops' lack of empathy on the accused or charisma to convince their congregation on the relevance of the PGC/virtus programs prior to volunteering in any church event.

During his homily on July 7, 2014 at Domus Sanctae Marthae celebrating Mass with a group of people from around the world who experienced sexual abuse by some members of the catholic clergy, Pope Francis I asked forgiveness on behalf of the church and condemned child sexual abuse in the strongest way. Importantly, "all bishops must carry out their pastoral ministry with the utmost care to help foster the protection of minors, and they will be held accountable" (Pope Francis I, 2014). Being accountable includes reporting cases to both local civil authorities and the church authority in Rome and creating a sustainable and measurable child sexual abuse protection policy for the protection of children and adolescents in the church. In Chapter 5, I will present the interpretation of the research findings and the theoretical framework of servant leadership, charismatic leadership, transactional leadership, and transformational

leadership. I will document the limitations of the study, research recommendations, implications of the study, and the research conclusion.

### Chapter 5: Documentation, Conclusions, and Recommendations

#### Introduction

The purpose of this phenomenological qualitative research was to identify and document the perceptions of Catholic priests of the leadership styles of Catholic bishops in the United States after the child sexual abuse scandal. The priests' responses focused on behavioral characteristics of four leadership styles: servant leadership, charismatic leadership, transactional leadership, and transformational leadership. I interviewed 11 Catholic priests working in a midwestern diocese who had been in the priesthood for no less than 10 years. My choice of research topic was the result of the constant need to create and sustain awareness of child sexual abuse in all organizations, including the Catholic Church. Awareness sustains the effort to maintain safe environments for young people while openly addressing the challenges of child sexual abuse in government and nongovernment organizations.

The priests reported that bishops displayed various combinations of the leadership styles of servant, charismatic, transactional, and transformational leadership. The priests were both critical and supportive of bishops' leadership during and after the child sexual abuse scandal. The results of the interview data coding shows servant leadership style as the predominant leadership style exercised by the U.S. Catholic bishops' in handling of the child sexual abuse scandal.

### **Interpretation of the Findings**

I obtained participants' data via face-to-face interviews and organized them into codes using NVIVO 11 qualitative software and manual coding. The research findings

reflected the four leadership styles. I compared the findings to those of past researchers in the peer-reviewed literature and described ways the findings confirmed, denied, or extended knowledge in the discipline.

# Theme 1: Servant Leadership (f = 22)

The perceptions of the priests showed that bishops exhibited most of the behavioral characteristics of servant leadership when handling the child sexual abuse scandal. Greenleaf (2003) posited whether "the roles of servant and leader be fused in one-real person of all levels of status or call and can that person be productive" (p. 1). Greenleaf concluded in the affirmative, noting the powerful transformational nature of servant leadership during tempestuous vicissitudes of the new century.

Objectively, Catholic leadership culture is the epitome of service to others in imitation of Jesus Christ who washed his apostles' feet (John 13: 1–17; Luke 22: 24–27; Matthew 26: 14–39). Servant leadership is idyllic and flourishes within a serving ethos (Wayne et al., 2014). The priests perceived that bishops used servant leadership styles in their meeting with victims, listened to their stories, and offered them remunerations after the abuse. The priests' perceptions also showed the bishops' stewardship by sending victims to counseling services and offering them remunerations to ease the crisis. The negative implication of the bishops' behavioral leadership is that the actions may have been ways of protecting themselves.

Participants also confirmed the effects of servant leadership behaviors on individual outcomes. Most participants believed bishops' interests were to protect the church and themselves. Some participants suggested that the bishops put others first in

handling the child sexual abuse because various media outlets (e.g., CNN, MSNBC, Fox News); legal actions against the diocese; and organizations forced them to act. A serving culture is a key mechanism through which servant leadership behavior affects individual and unit outcomes (Wayne et al., 2014). The participants reported that the behavior of the bishops affected the outcomes of the child sexual abuse scandal.

Whether bishops listened willingly or by force, circumstances led them to exercise most of the behavioral characteristics of servant leadership: paying attention to people; being compassionate, healing, and conscientious; having commitment to the growth of others; persuasion; building community; conceptualization; far-sightedness; and stewardship (see Greenleaf, 2003; Sun, 2013). Noticeable patterns from the coding of the first interview question included forced to listen, more interested in protecting the church, bishops' paid remunerations to victims, legal leadership approach, and psychological leadership approach. These patterns extend existing literature on behavioral characteristics of Catholic bishops in the context of servant leadership. Even if they felt forced to put others first, bishops exercised servant leadership as the most predominant leadership styles when handling the child sexual abuse scandal.

# Theme 2: Charismatic Leadership (f = 20)

Participants' perceptions showed that bishops exhibited some of the behavioral characteristics of charismatic leadership when handling the child sexual abuse scandal including the desire to change the current situation, removing obstacles for change, making new attractive ideas possible, stressing organizational identity and interest, and taking personal risks for the organization (see Kwak, 2012; Yukl, 2014). Noticeable

patterns from manually coding of the second interview question included compromised pastoral care, protected Catholic identity, exposed child abuse offenses, and unwillingness to sacrifice themselves. These patterns add to existing literature on the behavioral characteristics of Catholic bishops in the context of charismatic leadership.

Charismatic leadership behaviors affected bishops' relationships with their followers. Spisak et al. (2015) used "Niche's idea of *construction concept* to highlight how leadership could regulate and balance the tension between self-interest and group-interest" (p. 291). The participants believed bishops balanced their self-interest with that of the church only to the extent of protecting themselves and the church. Most participants suggested bishops shifted blame to the priests.

The priests' perceptions showed that bishops exhibited compelling charismatic leadership actions by expressing desire to change and removing obstacles to change through their openness in exposing child abuse offenses (see Table 3). Yan and Zhu (2016) noted the connection between charismatic leadership behaviors and the leader's effectiveness in relating with followers. Most participants thought bishops protected the organizational identity through their legal actions to protect Catholic identity by seeking professional advice. For some participants, the bishops' effort to protect the Catholic organizational identity ended in compromised pastoral care.

Bishops did not exhibit all behavioral characteristics of charismatic leadership.

The participants' perceptions showed that the bishops did not exhibit charisma to convince, which is an important behavioral characteristic of charismatic leadership (see Table 3). Through persuasion and mystique, charismatic leaders can goad individuals into

a feeling of self-worth (Charisma, 1986). Horn et al. (2015) described the ability to convince and motivate followers through eloquence and behavior as an outstanding attribute of charismatic leadership. Notably, "charisma is about building rapport, enhancing cooperation and connecting to other people" (Nongard, 2014, p. 37). The priests reported that the bishops' leadership when handling the child sexual abuse scandal lacked charisma.

The bishops did not put themselves in the leadership position; the church's highest hierarchy (i.e., the Holy See under the leadership of the Pope) appointed them. The Holy See or Apostolic See of the Roman Catholic Church is "the seat of the central administration of the worldwide Catholic Church" (Catechism of the Catholic Church, 2000, p. 882). Positional leaders lead because of the power of their position of authority; inspirational leaders have a driving force for their vision, purpose, and belief and lead without reliance on positional authority (Cooper, 2015). Organizational members determine whether a leader is charismatic based on leadership qualities and behaviors. The participants reported that the church's highest hierarchy determines the appointment of bishop in the Catholic Church.

### Theme 3: Transactional Leadership (f = 14)

The perceptions of the priests showed that bishops exhibited some of the behavioral characteristics of transactional leadership when handling the child sexual abuse scandal. I used the behavioral features of transactional leadership to formulate the third interview question regarding incentives and motivational strategies exhibited by bishops handling the child sexual abuse scandal. Most participants' perceptions showed

that the bishops exhibited teamwork when working with experts on a child sexual abuse prevention policy, Promise to Protect Pledge to Heal (USCCB, 2011). The team aspect of working with the clergy, religious, and faithful was only in the implementation process of the bishops' child abuse prevention programs. Most participants mentioned that the bishops trained facilitators from parishes to help implement the Virtus Program, as the prevention policy recommended.

Transactional leadership is a form of commercial exchange between leaders and followers (McCleskey, 2014). The participants' perceptions showed that bishops lacked the commercial behavioral characteristics of transactional leadership. Most participants believed bishops did not establish a reward system for the child abuse prevention policy. The bishops worked with parishes to train facilitators of the prevention policy, but participants perceived that there was no Virtus or PGC facilitator encouragement and reward system. Participants emphasized that there was no punishment system for noncompliant parishes.

Participants reported that the bishops imposed their prevention policy on parishes and did not work with the clergy to create the policy. Some participants highlighted that the bishops used the advice of experts to create the policy and commended their work with facilitators to implement the child sexual abuse prevention policy. The nature of the social exchange between the leader and the follower is such that both benefit from the relationship as Bass and Bernard (1990) posited, "the leader and the followers perceive each other as being potentially instrumental to the fulfillment of each other's needs, say,

for the completion of a task" (p. 319). The bishops benefited from training the facilitators and facilitators gained a reward in heaven, according to one of the participants.

Transactional leadership relationships involve the promise of rewards and benefits for the subordinate's fulfillment of an agreement with the leader (Bass & Strogdill, 1990; McCleskey, 2014). Most participants' perceptions were that there was no reward for compliant parishes and facilitators after fulfilling the requirements of the bishops' prevention policy. Khuong and Hoang (2015) found that leadership using reward and compensation was a valuable and efficient behavioral tool for achieving job satisfaction. The participants highlighted the use of remunerations only to the victims; bishops neglected the accused. Noticeable patterns from manual coding of the third interview question included bishops collaborated in PGC programs, supported parents' effort to protect their children, lack of compliance reward system, and no PGC facilitator encouragement system. These patterns add to existing literature on the behavioral characteristics of Catholic bishops in the context of transactional leadership.

# Theme 4: Transformational Leadership (f = 20)

Participants' perceptions showed that the bishops exhibited some behavioral characteristics of transformational leadership when handling the child sexual abuse scandal. McKnight (2013) explained that change in an organization due to transformational leadership requires flexibility and responsiveness from members, particularly if the modification will result in the transformation of the organization's structure, product, and service. The participants reported that the Catholic faithful were

flexible and responded overwhelmingly to the bishops' child abuse prevention policy requirements to create safe environments for children.

Most Catholics in the midwestern diocese participated in the Virtus/PGC programs after clearing background checks prior to volunteering. The Virtus/PGC program and background checks were among the requirements for volunteering in any Catholic Church activity. Transformational leaders use inspirational motivational as a tool to challenge followers to higher organizational values by being open-minded without critiquing every action to increase follower confidence (McCleskey, 2014). This description was inconsistent with participants' perceptions because most priests believed bishops criticized and passed blame to priests to protect themselves. Three of the 11 participants perceived that bishops inspirationally motivated the faithful through parish visits and diocesan newspaper writings.

Transformational leadership characteristics positively impact individuals during times of change who experience fear of the unknown and anxiety; transformational leaders motivate organizational employees via inspirational motivation by articulating a clear, stimulating vision (Caillier, 2015). Most participants commended the safe environment vision that bishops initially articulated regarding the child sexual abuse scandal but stated that the initial zeal dissipated. The participants' perceptions showed that bishops used *individual consideration* and *intellectual stimulation* behavioral characteristics of transformational leadership (see Table 3). Odetunde (2013) investigated the effects of transformational and transactional leadership styles and leaders' gender on organizational conflict management and noted the importance of individual consideration

and intellectual stimulation. The priests' perception did not involve gender, but the findings were consistent with Odetunde's research.

Without employees, organizational leaders have no one to lead; employees give meaning to the concept of organizational leadership. Khuong and Hoang (2015) investigated the effects of leadership style on employee motivation and found that using reward and compensation was a valuable behavioral tool for achieving job satisfaction. However, participants in this study reported that bishops did not use a reward system to motivate the faithful. Bishops motivated members by writing articles in diocesan newspapers and through pastoral visits.

Carmeli, et al., (2014) described transformational leadership as a valuable tool for creating a pathway through which employees can develop problem-solving skills. The idea of employees developing problem-solving abilities is consistent with the current study's research finding. Participants highlighted the PGC as a tool to help people recognize situations and signs of child abuse. Some participants did not recognize the relevance of the PGC as a tool for church members to help solve the child sexual abuse problem. Singh (2014) examined transformational leadership in collegial crisis and found that the more that change characterizes the workplace, the more the leaders must motivate their employees. The research findings did not show that the bishops inspirationally motivated the faithful enough to buy into their vision for creating a safe environment. Most people took the Virtus/PGC programs because it was a requirement for volunteering in any church activity. Noticeable patterns from manually coding of the fourth interview question included: adequate attention to victims, inadequate attention to the accused,

lacks sustainable vision for the future, need for sustainable child sexual abuse prevention policy, and need for sustainable PGC program. These patterns add to existing literature on the behavioral characteristics of Catholic bishops in the context of transformational leadership.

#### Theoretical Framework

This analysis of the research findings relied on the theoretical framework of transformational leadership, transactional leadership, charismatic leadership, and servant leadership as McCleskey (2014), Sun (2013), Kwak (2012), and Yukl (2014) defined them. The researcher used these leadership theoretical framework to identify and document the perceptions of Catholic priests of Catholic bishops' leadership styles when handling the child sexual abuse scandal (see Figure 1; Bass & Avolio, 1994; Greenleaf, 2003; Kwak, 2012; Bryant, 2003). The participants' perceptions showed the predominance of servant leadership behavioral characteristics as exhibited by the bishops' in their handling of child sexual abuse scandal.

## **Limitations of the Study**

Research limitation is the "potential weaknesses in a study and are out of your control" (Simon, 2011, p. 2). This research is limited to the perceptions of the participants. Participants must have been in the priesthood for at least 10 years and work in a midwestern diocese. An important limitation of trustworthiness is the generalization to other dioceses in the United States. Participants were not diverse within the vicinity of this study. The initial research sampling scope was 10 to 12 interviews with priests in a midwestern diocese. I assumed these priests interacted with bishops regarding the child

sexual abuse scandal. Younger priests and most foreign-born Catholic priests were reluctant to participate and declined the researcher's invitation. Foreign priests stated that they were afraid of repercussions even if the researcher ensured confidentiality. I recruited enough participants (11 priests) for this study and contacted more potential participants for future study. I avoided coercion of the participants and followed IRB requirements to maintaining research trustworthiness.

The participants cooperated during the data collection. After transcribing recorded interview data, I engaged in member checking with the participants to avoid methodological weakness and ensure trustworthiness. Participants confirmed that the data accurately represented their points of view. Member verification of interview data was helpful in "enhancing and preserving the authenticity of the results" (Buchbinder, 2010, p. 108). Generalization of the findings is difficult due to worldwide complexities of the Catholic Church. Other research methodologies and populations, including religious men and women and church members, would expand this study. Most participants were critical of the bishops' leadership during the child abuse sexual. The analysis of the data, however, was objective. Objective articulating the research findings was helpful in addressing any bias while making recommendations for future research.

Delimitations of this research included the use of qualitative research rather than mixed or quantitative research methods. The purpose of a qualitative research methodology is to note, and report lived experiences that research participants describe (Yin, 2016). Delimitations of the interview questions are that they only gather the opinions of U.S. Catholic priests. A Delimitation of this study is that the researcher only

collected opinions of the Catholic priests within this vicinity. So, the opinions of the public and other members of the Catholic Church regarding bishops' leadership during the child sexual abuse scandal are unknown.

#### **Recommendations**

The issues of child sexual abuse are global and not only within the Catholic Church. Governments and nongovernmental organizations must protect children and collaborate to create sustainable child abuse prevention policies. Catholic leaders need to task every bishop conference with ensuring a robust child abuse prevention policy. Particularly, the bishops need to collaborate with clergy, the religious, and the faithful to address leadership concerns that participants in this study identified. The participants' perceptions showed that U.S. Catholic bishops predominantly used servant leadership to handle the child sexual abuse scandal, but that leadership style lacks empathy. Catholic bishops should employ more empathy to address concerns of victims and the accused. Bishops should learn from these research findings to encourage and fund similar research evaluating their leadership styles.

I recommend the use of qualitative, quantitative, and mixed methodologies to explore Catholic priests' perceptions of bishops' leadership styles during child sexual abuse or any other crisis or scandal in the church. The participant sample should include religious men and women, priests, deacons, and the faithful (laity) especially those in critical leadership positions like school teachers, catechists, Eucharistic ministers.

Bishops combined the leadership behavioral characteristics of transformational and servant leadership styles to address societal complexities and organizational change.

Therefore, my recommendation is that the U.S. Catholic bishops must listen to the opinions of the clergy, religious, and faithful (laity) regarding their leadership styles. The bishops need to explore the combination of transformational-servant-transactional-charismatic (TSTC) leadership theories if they want to remain relevant in a complex world. TSTC leadership theory is a leadership theory that combines the behavioral characteristics of TSTC leadership theories.

Every Catholic diocese within the United States needs to act justly in dealing with the victims and the accused. There is a serious need to respects the accused right of innocent till proven guilty while collaborating with law enforcement officials as a way of ensuring transparency and maintaining the integrity of the process. There is a need to create a balance of protection for both the victims and the accused. Most participants' perceptions were that bishops favored victims, not the accused, even when the accused were in fact innocent. When diocesan investigators in collaboration with law enforcement officers or the court of law finds an accused person to be innocent, a healing process should begin immediately. It will be difficult, but the church can start by: (a) rendering a sincere apology; (b) offering paid sabbatical; (c) suggesting one of the months of sabbatical be in a monastery. Bishops should create a system for accused remuneration in cases of false accusation. Justice demands serious prosecution of false accusers and those who are guilty of abusing children in any way.

Bishops' leadership actions when handling child sexual abuse needs to include publishing parish compliance audits in diocesan newspapers, intellectually stimulating church members through writing about the child sexual abuse and creating a reward

system for compliant parishes. It may take as little as a *thank you* during pastoral visits or parish confirmations while identifying noncompliant parishes in diocesan newspapers. Due to the seriousness of child sexual abuse, bishops and priests whose dioceses and parishes are noncompliant for more than 3 years should receive training or stop presiding over a diocese.

There is a need for independent auditors to annually evaluate every Catholic diocese, religious congregations, and eparchy in the United States. Independent auditors should train every diocesan office member on how to do the auditing. The independent audit company would complete the final evaluation of dioceses. Dioceses with compliance issues should have 30 days to comply with independent audit requirements prior to auditors' diocesan visits. If the diocese is still noncompliant, they will receive noncompliant result for that year. Bishops should listen receptively to the complaints of victims and the accused, protect them in an equal manner, pay remunerations to victims and the falsely accused, and employ pastoral approaches. The bishops must sacrifice themselves for the church by acknowledging mistakes and collaborating with clergy, religious, and laity to employ experts to reevaluate the Virtus/PGC programs while including an encouragement system in their leadership style.

# **Study Implications**

The implications for social change within this study include the creation of an awareness for individuals, families, government, and nongovernment organizations of the need to continue to build sustainable safe environments for children and young people.

The study findings may help the Catholic Church, in general, and U.S. Catholic bishops

to develop a robust, sustainable child abuse prevention policy. The findings may reignite church awareness and societal sensitivity to the need for the Holy See and the Catholic bishops' conferences as policymakers together with the lawmakers to enact stronger policies and laws to protect children from abuse. The findings also seem to reach beyond the church and into nonprofit, this study could impact nongovernmental organization, university settings, hospitals and any organization that is responsible for the safety and welfare of children. The research findings provide bishops with information regarding the effectiveness of current child sexual abuse programs and policies.

This qualitative phenomenological information may be useful to Catholic bishops who would like to know their leadership style to make leadership behavioral changes, if necessary. This research may ignite debates in the Catholic Church regarding application of transformational-servant leadership theory in the complex, modern world. The goal of this research was to highlight the importance of mutual responsibility of the clergy and the Catholic faithful to build and sustain environments that are safe for children in the Catholic Church. This research highlighted bishops' servant leadership when handling the child sexual abuse scandal, and I recommend a transformational-servant leadership style.

#### Conclusion

The concept of leadership is very relevant to the notion of followership.

Leadership is only as effective as the hard work of devoted followers. Spisak et al. (2015) highlighted the balancing act between self-interest and group interests in organizations; leaders must regulate this tension to maintain organizational fitness. Government and

non-government leaders must prioritize laws to protect the most vulnerable members of society (i.e., children and young people). Particularly, Catholic leadership must prioritize and sustain policies to protect children from abuse within the church. Child abuse is not only an issue for the universal Catholic Church or the Catholic Church in the United States. It is an endemic issue that extends to every facet of modern society. Catholic leaders should lead the effort to sustain awareness to recognize, prevent, and reduce child sexual abuse. Catholic leadership must listen to the opinions of the clergy, religious, and the faithful (laity) regarding their leadership styles and should incorporate transformational-servant leadership theory for the best results.

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### Appendix A: Interview Protocol (for Researcher)

Interview Location:	
Date:	
Interviewer:	
Participant:	
•	
Participant's Job Title:	

- 1. The interview begins with the interviewer explaining to the participants either face-to-face or via Skype the purpose of the meeting as a way of collecting research data. The research data collection will focus on the interviewee's perception of the research topic and purpose.
- 2. Before engaging the participants in collecting any interview data, the interviewer will explain and obtain a signed informed consent from the participants.
- 3. When the participants have fully understood the nature of the research, given their consent, and ready to participate in the interview, the interviewer will then seek the participants' approval to audio record the interview and to take notes.
- 4. After the interview, the interviewer will either collect a hard copy of the written responses from the participants or received an email copy from them as a proof of their consent.

## **Interview Questions:**

This interview will focus on questions relating to the behavioral patterns exhibited by the United States Catholic bishops, as defined by individual characteristics of each of four

leadership styles, in their handling of the child sexual abuse scandal in the church. The interview questions are divided into four behavioral categories.

### **Leadership Style Behavioral Category A (Servant Leadership)**

- IQ1: In what ways do you think that the bishops exhibit/exhibited or do/did not exhibit the ability to put others first in handling the child sexual abuse scandal?
- a. Listening receptively to stories of the accused and victims of the child sexual abuse.
- b. Exhibit/Exhibited awareness of the traumatic experiences of the child abuse victims and sought ways to bring about healing and closure for the victims, the accused, and their families?
- c. The bishops are/were responsible and committed in formulating a plan for the future to bring about justice and spiritual development for the Catholic faithful.

# Leadership Style Behavioral Category B (Charismatic Leadership)

- IQ2: In what ways do you think that the bishops' exhibit or did not exhibit compelling leadership actions in handling of the child sexual abuse scandal?
- a. Did/do the bishops allow for the exposure of sexual offenses and offenders to create vigilance in preventing further abuses against children?
- b. Did the bishops compromise/are the bishops compromising the Catholic identity and interest in bringing about a vision for the future to quell fears and concerns of Catholic parents?
- c. Are/Were the bishops willing to sacrifice themselves to bring about resolve to the child abuse scandal?

## **Leadership Style Behavioral Category C (Transactional Leadership)**

- IQ3: What incentive and motivational strategies do you perceive that the bishops exhibited or did not exhibit in their handling of the child sexual abuse scandal in the church? Relating to their:
- a. Working together with the Catholic faithful in creating and implementing child sexual abuse prevention policy.
- b. Implementing a rewards system for the parishes in their diocese based on compliance with the child abuse policy.
- c. Showing support to the Catholic members' efforts to protect their children from child sexual abuse.

# Leadership Style Behavioral Category D (Transformational Leadership)

- IQ4: In what ways do you perceive that the bishops display or did not display effective leadership skills in handling the child sexual abuse crisis? Relating to:
- a. Paying adequate attention to the needs of each victim and accused of the child sexual abuse.
- b. Organizing programs to help educate the Catholic faithful on the means to prevent child sexual abuse.
- c. Genuinely encouraging the faithful of their dioceses by inspirationally motivating them faithfully to buy into the Church's prevention policy.